

PDA Pre-Conference Workshop Impact of Pre-filled Syringe Packaging Components on Biopharmaceuticals Vienna, November 06, 2017

Extractables and Leachables: Impact of Packaging Components on Therapeutic Proteins

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CONTENT

- 1. General E/L requirements for Pre-Filled Syringes
- 2. What makes Biologics (i.e. Therapeutic Proteins) Different?
- Additional Concerns & Requirements for Biologics / Therapeutic Proteins
- The PQRI PDP Recommendations:
 Evaluating the risk of Leachable Protein Interaction
- 5. Conclusion



1. General Extractable & Leachable Requirements for Pre-filled Syringe Systems (SVP's)



The selected Container / Closure system must be

"suitable for its intended use"

A C/C-system that is suitable for 1 Drug Product, may not be suitable for another Drug Product!



Suitability of Containers:

The Container / Closure system:

- 1. Should **Protect** the Drug Product
- 2. Should **not introduce toxic compounds** (safety)
- 3. Should be **Compatible** with the Drug Product
 - No Change in Drug Product
 - No Change in Packaging
- Should guarantee the Performance & Functionality and guarantee the delivery of the drug/dose



C/C should **not introduce Toxic** Compounds:

- Leachables from the container closure
- Leachables that undergo a physical/chemical change in the drug product
- Leachables that react with the API
- Toxicological Assessment should address potential Safety Issues



PRIMARY PACKAGING (not limitative)				
YEAR	GUIDANCE / GUIDELINE / RECOMMENDATION			
<1999	21CFR 211.94(a) "DRUG PRODUCT CONTAINERS AND CLOSURES" not reactive, additive, absorptive to alter safety, identity, strength, quality or purity of drug			
1999	"CONTAINER/CLOSURE SYSTEMS FOR PACKAGING HUMAN DRUGS AND BIOLOGICS" (DRAFT FDA-Guidance for Industry)			
2003	EU COMMISSION DIRECTIVE 2003/63/EC, (§ 3.2.2.2 g) CCS-information is part of the Market Authorization dossier.			
2005	"GUIDELINE ON PLASTIC IMMEDIATE PACKAGING MATERIALS" (EMEA Guideline) Contains "Decision Tree" for different dosage forms			
2006	ICH Q8 "PHARMACEUTICAL DEVELOPMENT", § 2.4 CCS			
2006	PQRI RECOMMENDATIONS – Safety Thresholds and Best Practices for Extractables & Leachables in OINDP			
2014	USP <1663> (Extractables) & USP <1664> (Leachables)			
2015	ICH M7: DNA Reactive Impurities in Pharmaceuticals			
2017	PQRI – PDP/ODP RECOMMENDATIONS (soon to be released) Safety Thresholds and Best Practices for Extractables & Leachables in PDP/ODP			



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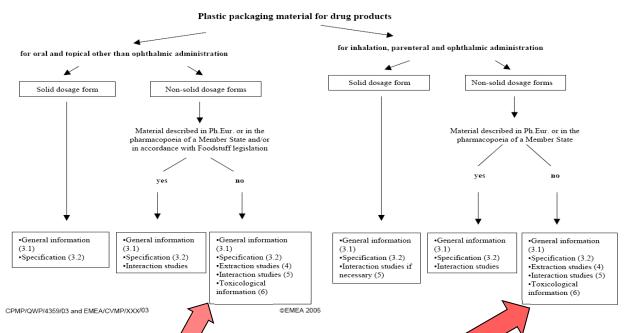
Examples of Packaging Concerns for Common Classes of Drug Products

Degree of Concern	Likelihood of Packaging Components – Dosage Form Interactions			
Associated with the	High	Medium	Low	
Route of				
Administration		•	1	
Highest	Inhalation Aerosols and Sprays	Injections and Injectable Suspensions; Inhalation Solutions	Sterile Powders and Powders for Injection; Inhalation Powders	
High	Transdermal Ointments and Patches	Ophthalmic Solutions and Suspensions; Nasal Aerosols and Sprays	-	
Low	Topical Solutions and Suspensions, Topical and Lingual Aerosols, Oral Suspensions and Solutions	-	Oral Tablets and Oral (Hard and Soft Gelatin) Capsules; Topical Powders; Oral Powders	

Revision of "Table 1" in USP <1664>,
Originally Included into the FDA Guidance for Industry (1999):
"Container/Closure systems for Packaging Human Drugs and Biologics"



"OTBER" DOSAGE FORMS: LIKELIHOOD OF INTERACTION IS HIGH



E.P. COMPENDIAL TESTING IS REQUIRED BUT NOT SUFFICIENT.

ADDITIONAL REQUIREMENTS

- 1. EUROPEAN PHARMACOPOEIA TESTS
- 2. EXTRACTION STUDIES
- 3. INTERACTION STUDIES (INCLUDING § 5.1 MIGRATION STUDIES)



HOW to comply: Extractables Assessment

USP < 1663 > Monograph

"Assessment of Extractables Associated with Pharmaceutical Packaging/Delivery Systems"

This is an **INFORMAL** Monograph

Politic Research Institute — Parenteral & Ophthalmic Drug Products

Best Demonstrated Practice Recommendations: **Chemistry** & Toxicology

This is a **RECOMMENDATION**



These Documents ar either INFORMAL or RECOMMENDATIONS

Allow Flexibility in Design

What is the <u>intent</u>? => **Strategy** of testing <u>How to design the study</u> for the envisioned intent? => **Tactics**

However, Justification is Needed!

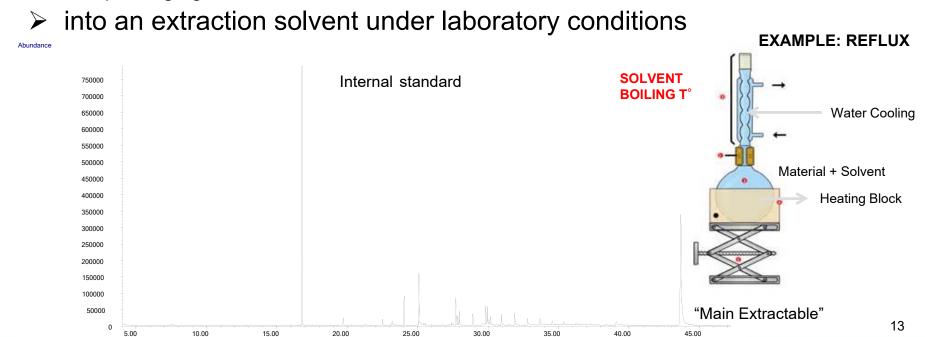
Both **Identifying the Necessity** for an Extraction Study, as well as **Justifying the Design**, is the responsibility of the <u>Holder of</u> the NDA.



DEFINITIONS

EXTRACTABLES (from USP <1663>):

- Organic & Inorganic Chemical Entities
- > released from
 - a pharmaceutical packaging/delivery system
 - packaging component
 - packaging material of construction





What is the **PURPOSE** of an Extraction Study?

- Material Characterization of the Packaging Components
- <u>"Impurities Profiling"</u> of the Materials
 - Identify as Many Compounds as Possible
 - Identify "Bad Actors" in the Materials
- > Early Risk Evaluation: Potential Patient Exposure to Chemical Entities
- Allows to <u>establish Leachables Extractable correlations</u>
- Identify & Justify Compounds that may need to be Monitored as Leachable
 - Toxicity
 - Concentration in the Materials
 - Risk for Migration



What is the **PURPOSE** of an Extraction Study?

- Understand the Effects of various Processes on components
- Establish Worst Case potential Leachables Profile, when it is not scientifically possible to determine Leachables
- Use of Extraction solutions which are "Compatible" with Screening techniques: <u>CLEAN SOLVENTS</u>
- Typically Not as a Final Step in the Safety Assessment!



VARIABLES that may/will have an impact on the Study Design of an Extractable Study

- > The Classification & Specific Requirements per Drug Product
 - o Table 1 in FDA C/C-Guidance (1999)
 - o Decision tree in the EMA-Guideline (2005)
- > The Composition of the DP, in contact with the C/C system
- > The **Type of contact** between the DP and the C/C system
 - o Primary Packaging
 - Secondary Packaging (e.g. Needle Shield, Label,...)
- > The **Types of Materials** used in te Manufacture of the C/C
 - o E.g. Rubber versus Polyolefin for BFS
- The Knowledge on the Composition of Materials (from Vendor)
 - o Additives, Catalysts, Oligomers, Colorants,...
- The Use of the Data
 - Only for this particular application, or also for other DP?
- Primary Packaging versus Manufacturing Equipment



Parameters To be Considered for an Extraction Study

- ✓ Extraction Solvents
- ✓ Extraction Techniques
- ✓ Extraction Conditions (Temperature, time)
- ✓ Extraction Ratio's Stoichiometry
- ✓ Analytical Techniques
 - Screening Techniques
 - Targeted analysis for specific compounds

















(COATED) RUBBER

MONOMER **REMAINDERS &** POLYMER FRAGMENTS

FILLERS: Clay, Talc, Carbonates...

ANTIOXIDANTS: BHT. Irganox 1010, Irgafos 128, ...

CURING AGENTS: S.S-Donors, Phenol-Formaldehyde...

ACTIVATORS:

ZnO / Stearic Acid

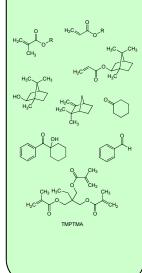
ACCELERATORS:

Carbamates, Sulfenamides...

OTHERS: Pigments, Stabilizers. Release agents...

NEEDLE GLUE

- POLYMER **FRAGMENTS** - UV CURING-**ACTIVATORS**



TUNGSTEN (W)

A Tungsten pin is used in the production of glass pre-filled syringes to keep the syringe hub open (cavity where the staked needle is glued in)

GLASS BARREL

Major: Silica (SiO₂)

Alkali (e.g. Na₂O)

Minor:

 $K(K_2O)$,

 $B(B_2O_3),$

Ca (CaO),

AI (AI_2O_3)

Colored glass: Fe₂O₃,TiO₂ CuO, Mn³⁺

Sulfate (from dealkalization)

Silicone oil (provides lubricity)

COC/COP/PP BARREL

POLYMER FRAGMENTS SOLVENTS

ANTIOXIDANTS: BHT, Irganox 1010, ...

ACID SCAVENGERS: Stearate,...

LUBRICANTS: Metal Stearate, FA Esters, ...

WAXES

SLIP ADDITIVES: Erucamide, Oleamide,

PLASTICIZERS: DEHP, ...

RELEASE AGENTS

PIGMENTS

LABEL (ADHESIVE)

Permeable Plastic Materials (e.g. PP, ...)

INK and ADHESIVE constituents in a complex composition, but at low concentrations

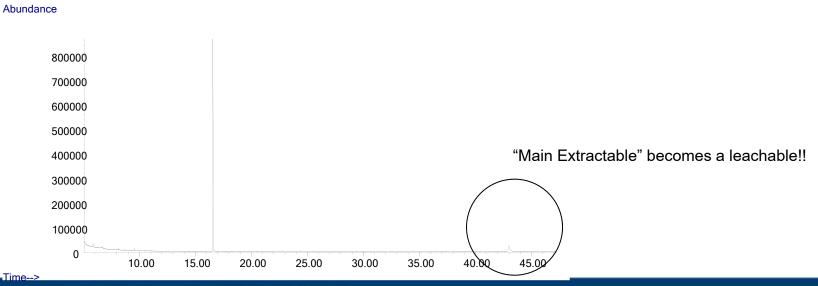
POLYMER FRAGMENTS. SOLVENTS, PHOTO-INITIATORS, STABILIZERS. TACKIFIERS, ...

e.g. Acrylates, PVA, NR, Benzophenone, Irgacure 184, Irgacure 651, Irganox 1010, Dehydroabietic Acid, DCHP, TBPP, Siloxanes,



LEACHABLES (from USP <1664>):

- Foreign Organic and Inorganic Chemical Entities
- present in a packaged drug product because they have leached into the packaged drug product from
 - the packaging/delivery system
 - packaging component
 - packaging material of construction
- under normal conditions of storage and use
- > or during accelerated drug product stability studies





- Trying to assess the Leaching Behaviour
- Assess Potential Toxic Consequences = SAFETY
- Assess the Impact on Drug Product QUALITY
- Focus on Quantification of "TARGET" COMPOUNDS

Known Polymer Additives Used (and their degradation products)
Validation Package of Container Suppliers
Extractables Study Information

"SIMULATED USE" CONDITIONS

Storage Time / Temperature / Humidity

Conditions: Similar to Stability Studies

Pharmaceutical Formulation as Contact Solution

QUANTITATIVE METHODS

Limit test, evaluated at eg the AET level or any other relevant level, Fully Developed and Validated Methods (ICH Q2B)

USP <1664> SOME REQUIREMENTS (although informal), ENFORCED BY THE FDA

- Evaluate **three batches** your to-be-marketed drug product for leachables
- Include assessments of multiple time points over the course of the stability study
- Justify your selection of leachables to be monitored in a "formal" leachable study,
- Use an Analytical Evaluation Threshold that corresponds to 5 μg/day
 - Find and Identify everything above the Qualification Threshold (QT) of 5 μ g/day
 - Can only be achieved with a leachable study, run in "screening" mode
- Provide detailed and quantitative (if possible) extractables leachables correlations
- Include secondary container closure system components
- If you cannot achieve the AET, then this must be justified and the methods' QL will be used.
- The assessment should include include an assessment of leached elemental impurities
- Provide a summary table



2. What makes Biologics Different?



2. WHAT MAKES BIOLOGICS DIFFERENT?

UNIQUE CHALLENGES OF BIOLOGICS

- Administration by injection is among those of highest concern
- Likelihood of interaction between packaging component and injectable dosage is high
- Biologics are complex
 - ✓ Large molecular weights
 - ✓ Abundance of binding sites on the surface (hydrophilic and hydrophobic)
 - ✓ Heterogeneous mixtures
- Biologics are sensitive to structural modifications
 - ✓ Safety considerations (immunogenicity)
 - ✓ Efficacy considerations (loss of activity, formation of neutralizing antibodies)
 - ✓ Quality considerations (protein aggregates, stability)
- I. Markovic (2014) regulatory Perspective on Extractables & Leachables in Biologics, ASTM E55 Workshop, May 21, 2014
- II. Kim Li (2016) Predicting the risk of extractables and leachables (E&L) interacting with Therapeutic proteins, presentation at PEPTALK 2016



2. WHAT MAKES BIOLOGICS DIFFERENT?

E&L STRATEGY FOR BIOLOGICS MUST ADDRESS BOTH SAFETY AND QUALITY CONCERNS

- The strategy can be applied to drug containers, drug delivery systems and singleuse systems
- It should incorporate key ICH Q9 concepts, science- and risk based
- It should be phase appropriate, progressing from screening and selection of critical components to life cycle management of drug products

Evaluation of E/L should provide understanding of toxicity profile and likelihood of interaction with drug, excipient and/or package

I. Kim Li (2016) Predicting the risk of extractables and leachables (E&L) interacting with Therapeutic proteins, presentation at PEPTALK 2016



3. Additional E/L-Requirements - Biologics

PDA*3. ADDITIONAL REQUIREMENTS – THERAPEUTIC PROTEINS

Guidance for Industry

Immunogenicity Assessment for Therapeutic Protein Products

U.S. Department of Health and Human Services Food and Drug Administration Center for Drug Evaluation and Research (CDER) Center for Biologics Evaluation and Research (CBER)

CONSEQUENCES FOR EFFICACY

– some of the concerns:

Development of "Neutralizing Antibodies" (e.g. through chemically modified therapeutic protein product) can block the efficacy of therapeutic protein products

May also change the Pharmacokinetics

- Enhancing Clearance
- Or Prolonging Product Activity

Leached materials from the container closure system may be a source of materials that enhance immunogenicity, either by chemically modifying the therapeutic protein product or by having direct immune adjuvant activity.

FDA Guidance for Industry, 2014

PDA*3. ADDITIONAL REQUIREMENTS – THERAPEUTIC PROTEINS

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CONSEQUENCES FOR SAFETY

– some of the concerns:

(e.g. "...through chemically modified therapeutic protein product...")

- Anaphylaxis (serious, accute allergenic reaction)
- Cytokine Release Syndrome
- "Infusion Reactions"
- Non-Acute Reactions

Cross-reactivity to Endogeneous Proteins

Leached materials from the container closure system may be a source of materials that enhance immunogenicity, either by chemically modifying the therapeutic protein product or by having direct immune adjuvant activity.

FDA Guidance for Industry, 2014



2. WHAT MAKES BIOLOGICS DIFFERENT?

Interactions between the rapeutic protein products and the container closure may negatively affect product quality and immunogenicity. These interactions are more likely with prefilled syringes Guidance for of therapeutic protein products. These syringes are composed of multiple surfaces and materials that interact with the therapeutic protein product over a prolonged time period and thus have the potential to alter product quality and immunogenicity. The following are other container closure considerations pertinent to immunogenicity:

Immunogenicity 2

Therapeutic Protein Products

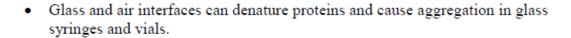
U.S. Department of Health and Human Services Food and Drug Administration Center for Drug Evaluation and Research (CDER) Center for Biologics Evaluation and Research (CBER)

> August 2014 Clinical/Medical

"... Interactions are more likely with **pre-filled syringes** of therapeutic protein products..."

"... Materials that interact with the therapeutic protein product over a prolonged time and thus have the potential to alter product quality and immunogenicity..."

*3. ADDITIONAL REQUIREMENTS – THERAPEUTIC PROTEINS



- Glass vials have been known to delaminate at higher pH and with citrate formulations, potentially creating protein-coated glass particles, which may enhance immunogenicity of the therapeutic protein product (Fradkin et al. 2011).
- Delamination
- Silicone oil-coated syringe components provide a chemical and structural environment on which proteins can denature and aggregate.
- ➡ Silicone Oil
- Appropriate in-use stability studies should be performed to confirm that conditions needed to maintain product quality and prevent degradation are adequately defined.
- In Use Stability Studies
- Leached materials from the container closure system may be a source of materials
 that enhance immunogenicity, either by chemically modifying the therapeutic
 protein product or by having direct immune adjuvant activity, including the
 following:
 - Directly Indirectly leading to enhanced immunogenicity
 - Organic compounds with immunomodulatory activity may be eluted from container closure materials by polysorbate-containing formulations: a leachable organic compound involved in vulcanization was found in a polysorbate formulated product when the stopper surfaces were not Teflon coated (Boven et al. 2005).
 - **Eprex** Case
 - Metals that oxidize and aggregate therapeutic protein products or activate metalloproteinases have been found in various products contained in prefilled syringes or in vials. For example, tungsten oxide that leached from the syringe barrel was reported to cause protein aggregation (Bee et al. 2009); and leached metals from vial stoppers caused increased proteolysis of a therapeutic protein because of activation of a metalloproteinase that co-purified with the product.
- Tungsten Oxide Leading to Protein Aggregation

3. ADDITIONAL REQUIREMENTS – THERAPEUTIC PROTEINS



Sponsors should conduct a <u>comprehensive extractables and leachables laboratory assessment</u> using <u>multiple analytical techniques</u> to assess the attributes of the container-closure system that could interact with and degrade protein therapeutic products.

Because the United States Pharmacopeia elastomeric closures for injections tests do not adequately characterize the impact of leachables in storage containers on therapeutic protein products under real-time storage conditions, leachables must be evaluated for each therapeutic protein product in the context of its storage container under real-time storage conditions.

Testing for leachables should be performed on the product under stress conditions, ⁹ as well as under real-time storage conditions, because in some cases the amount of leachables increases dramatically over time and at elevated temperatures. <u>Product compatibility testing should be performed to assess the effects of container closure system materials and all leachables on product quality.</u>



4. The PQRI – P(O)DP Recommendations:

Evaluating the risk of Leachable – Protein Interaction



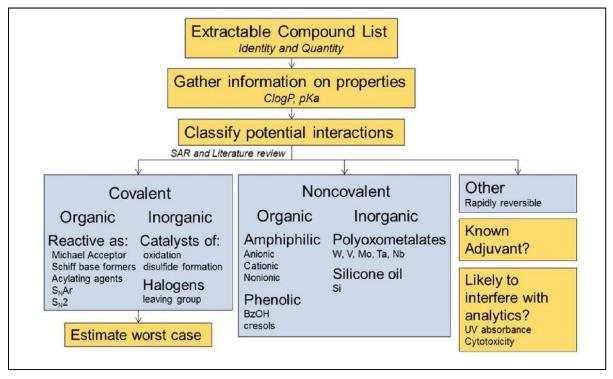


The PODP Best Demonstrated Practice Recommendations – Chemistry and Toxicology

- Toxicology Safety Concern Thresholds
- Chemistry Recommendations for E & L Set-up on PDP
- For Parenteral DP:
 - a "New" Issue Compatibility Issues with Biopharmaceuticals



Different Types of Interactions
Between Leachables and Therapeutic Proteins / Biologics
which can affect the Quality of the Biologic DP



Source: Kim Li et al. PDA J Pharm Sci and Tech 2015;69:590-619



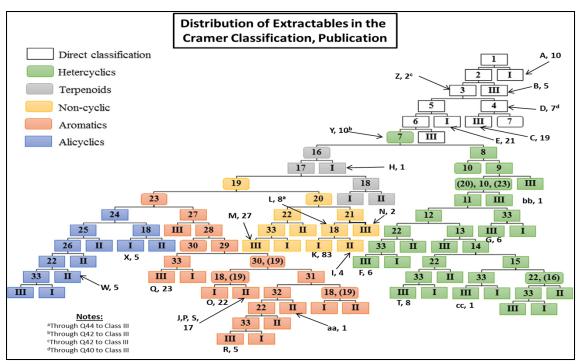
"Because of the irreversible nature of the protein modification, covalent binding presents a higher risk of affecting product quality attributes as compared to noncovalent binding"

A Partial List of Extractables that Could Induce Protein Modification via Covalent Binding

Agents or Mechanisms	Compounds
Michael acceptors	(2E,92)-Ethyl 12-oxoctadeca-2,9-dienoate 1-((2-Ethylheptyl)oxy)-1-oxopropan-2-yl (1-((2-ethylhexyl)oxy)-1-oxopropan-2-yl) maleate 1-((3-Butyl-4-methylcyclohexa-1,5-dien-1-yl)methoxy)-1-oxopropan-2-yl (1-((4-ethyl-3-methylbenzyl)oxy)-1-oxopropan-2-yl) maleate 1,6-Hexanedioldiacrylate 13-oxooctadeca-9,11-dienoic acid 1-Hydroxy-2-propyl methacrylate 1-oxo-1-(((2E,5E)-2-((Z)-prop-1-en-1-yl)octa-2,5-dien-1-yl)oxy)propan-2-yl (1-oxo-1-(((E)-2-((Z)-prop-1-en-1-yl)hept-2-en-1-yl)oxy)propan-2-yl) maleate 2,6 Di(tert-butyl)-4-mydroxy-4-methyl-2,5-cyclohexandien-1-one (BHT-OH) 2,6-di-tert-butyl-4-methylene-2,5-cyclohexandienone (BHT-quinone-methide) 2,6-Di-tert-butyl-4-benzoquinone (BHT-quinone) 2-Hydroxypropyl methacrylate 3-tert-Butyl-4-hydroxyanisole 4-ethyl 1-methyl 2-hexanoylsuccinate 7,9-bis(tert-butyl)-1-oxaspiro[4,5]deca-6,9-diene-2,8-dione (BODDD) Acrylic Acid Bis(1-((2-ethylhexyl)oxy)-1-oxopropan-2-yl) maleate Isobornyl methacrylate Methacrylic acid (MAA) Tetraethylene glycol dimethacrylate Methacrylic acid (MAA) Tetraethylene glycol dimethacrylate Tetrahydrofurfuryl methacrylate Tetrahydrofurfurfurfurfurfurfurfurfurfurfurfurfurf

Source: Kim Li et al. PDA J Pharm Sci and Tech 2015;69:590-619





Question:

Could we ever come to a situation where a **similar decision tree** could be established which **identifies the risk of interaction between a Leachable** and a Biologic DP, based upon

- Physico-chemical properties of the Leachable
- Structural evaluations of the Leachable



- Covalent Interactions with Organic Leachables are Predictable (see examples in next slides)
- Covalent Interactions with Inorganic Leachables and Non-Covalent Interactions are more difficult to predict.

Information for Non-Covalent Binding should be based on:

- ✓ Literature
 - √ Silicone Oil
 - √ Tungsten Oxide
 - √ Cresols
 - ✓ Metals, and metal oxides leading to protein oxidation/truncation
- √ Case Studies
- ✓ Presentations
- ✓ Own Experiences
- **√**...

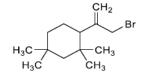


EXTRACTABLES PROFILE



H₃C CH₃ OF

 H_3C CH_3 H_3C CH_3





Cyclohexane CAS# 110-82-7

2,2-Dimethyl-1-propanol CAS# 75-84-3

2-(2,2,4,4-Tetramethylcyclohexyl)acrylaldehyde ToxID# 559

C₁₃H₂₃Br Rubber Oligomer ToxID 36/44/45

Acetic acid CAS# 64-19-7

BHT-OH CAS# 10396-80-2

Octamethyltrisiloxane CAS# 107-51-7

Palmitic acid CAS# 57-10-3

*trans-***2-Hexene** CAS# 4050-45-7

Isobutene CAS# 115-11-7

2-Methylfuran CAS# 534-22-5

7,9-Di-*tert*-butyl-1-oxaspiro-[4,5]deca-6,9-diene-2,8-dione CAS# 82304-66-3

N,N-Dimethyloctylamine CAS# 7378-99-6

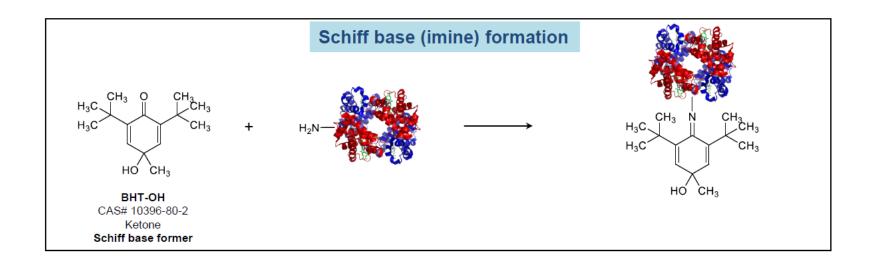
$$H_3C$$
 CH_3
 CH_3
 CH_3
 CH_3
 CH_3

2,3-Dimethyl-2,3-diisobutyl succinonitrile CAS# 80822-82-8



EXTRACTABLES PROFILE CH₃ H₃C Acetic acid Cyclohexane 2,2-Dimethyl-1-propanol 2-(2,2,4,4-Tetramethylcyclo-C₁₃H₂₃Br Rubber Oligomer CAS# 64-19-7 CAS# 110-82-7 CAS# 75-84-3 hexyl)acrylaldehyde ToxID 36/44/45 ToxID# 559 Alkyl halide α,β-unsaturated carbonyl compound Alkylating agent Michael acceptor H₃C Isobutene BHT-OH Octamethyl-Palmitic acid trans-2-Hexene CAS# 4050-45-7 CAS# 115-11-7 CAS# 10396-80-2 CAS# 57-10-3 trisiloxane CAS# 107-51-7 Ketone Schiff base former ĊНз 2,3-Dimethyl-2,3-diisobutyl 7,9-Di-tert-butyl-1-oxaspiro-2-Methylfuran N,N-Dimethyloctylamine succinonitrile CAS# 534-22-5 [4,5]deca-6,9-diene-2,8-dione CAS# 7378-99-6 CAS# 80822-82-8 CAS# 82304-66-3 Acylating agent







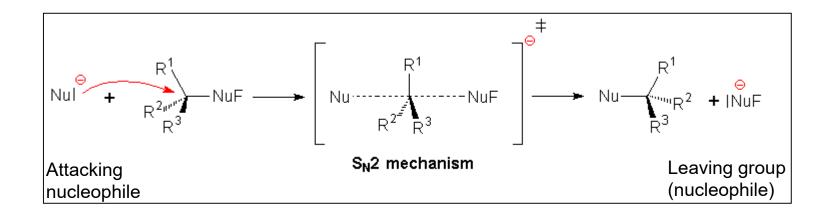


Michael addition

Schiff base (imine) formation



Alkylation





5. CONCLUSION



5. CONCLUSION

Basis for the evaluation for E/L for a Therapeutic Protein: the same for a "Small Molecule" DP:

- ✓ However the E/L- evaluation for a Biologic is augmented with:
- ✓ Evaluation of **Tungsten (Oxide)**: could denaturate proteins
- ✓ Evaluation of Silicone Oil: could cause protein aggregation
- ✓ **Evaluation of the Extractables**: could they form *covalent bonds* with functional groups of the Protein?

Michael Acceptors

Schiff base formers

Acylating, Alkylating Agents

 S_NAr, S_N2

...

- ✓ Evaluation Metals (elemental impurities): could they lead to interactions (eg oxidation) of the Protein? Literature?
- ✓ Other, non-covalent interactions are more difficult to assess



5. CONCLUSION

Basis for the evaluation for E/L for a Therapeutic Protein: the same for a "Small Molecule" DP:

- ✓ However the E/L- evaluation for a Biologic is augmented with:
- ✓ Glass Delamination (although not strictly related to E/L) can contribute to Immunogenetic Responses
- ✓ Extraction Studies should at least bracket both hydrophilic ànd hydrophobic properties of Proteins: multiple extraction solvents
- ✓ Evaluate the impact of potential leachables on the Product Quality:
 - Evaluate the Activity, Efficacy of the Therapeutic Protein.
 - Future:

Interactions of Leachables with Proteins Immunogenicity Testing



Questions

Please contact us:

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