Biological indicators and biological validation

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- BIOLOGICAL INDICATORS
 - VALIDATION
- PARAMETRIC RELEASE





Regulatory references

United States Pharmacopeia 42

European Pharmacopeia 10th edition

ANSI/AAMI/ISO 11138 - Sterilization of health care products – Biological Indicators 11138-1 – General 11138-2 – EtO 11138-3 – Moist Heat 11138-4 – Dry Heat 11138-5 – Low-temperature Steam and Formaldehyde

AAMI/ISO 14161 – Sterilization of health care products – Biological indicators – Guidance for the selection, use and interpretation of results

AAMI/ISO 18472 – Sterilization of health care products – Biological and chemical indicator – test equipment

EMA Guidelines on the sterilization of medicinal product, active substance, excipient and primary container





What is a Biological Indicator?

«It is a well-characterized preparation of a specific microorganism that has know resistance to a specific sterilization process.»

USP 42, General Chapter 1229.5









What is a Biological Indicator?

	ISO 11138-3	Eu. Ph. X ed.	USP 42
		G.stearothermophilus (ATCC 7953, 12980,	G.stearothermophilus (ATCC 7953, 12980)
STRAIN	C stoarothermonhilus	NCTC 10007, CIP52.81, NCIMB 8157)	C.sporogenes (ATCC 7955)
JIRAIN	G.stearothermophilus		B. atropheus (ATCC 9372)
			B. subtilis (ATCC 5230)
Population	≥ 1,0 x 10 ⁵		
D value at 121°C	≥1,5 min	1,5 min to 4,5 min	
Z value	≥6°C		





Biological Indicators: purpose



Biological indicators are designed to show by the survival of test microorganisms whether specified sterilization conditions have been attained.

The absence of growth of a test microorganism after exposure to a sterilization process demonstrates that a specified level of microbiological inactivation has been delivered.

Survival of a test microorganism subjected to a sterilization process indicates that the process has failed.





Biological Indicators: purpose

The physical method of F_0 value calculation provides an estimate of the conditions to which the biological indicator is subject, however it cannot predict the full effect of moisture on the biological indicator.

Biological indicators may be used to give a microbiological correspondance to the physical parameters assessed.

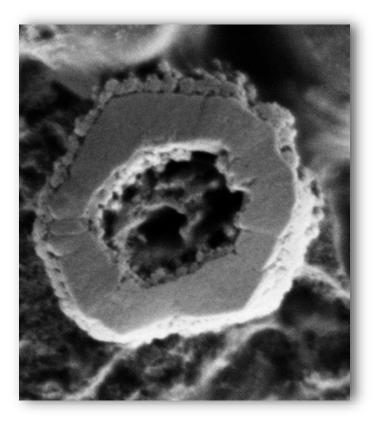




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What are Biological Indicators?



Microorganisms widely recognized as suitable for BIs are spore forming bacteria because more resistant than normal microflora.







There are at least three types of Bis











Spore added to a carrier (a disk or strip of filter paper, glass, plastic or other material) and packed









Carriers and primary packaging

- no chemical/microbial contamination
- no degraded by the sterilization process
- they should minimize the loss of the original inoculum during transport, handling and shelf life storage





Carriers and primary packaging

Must not retain residual sterilizing agent such that it could hinder outgrowth of low numbers of surviving spores.







12KV

X750 10Mm WD10

Exam Glove

15KU X1,600 10Mm WD13

Stainless Steel

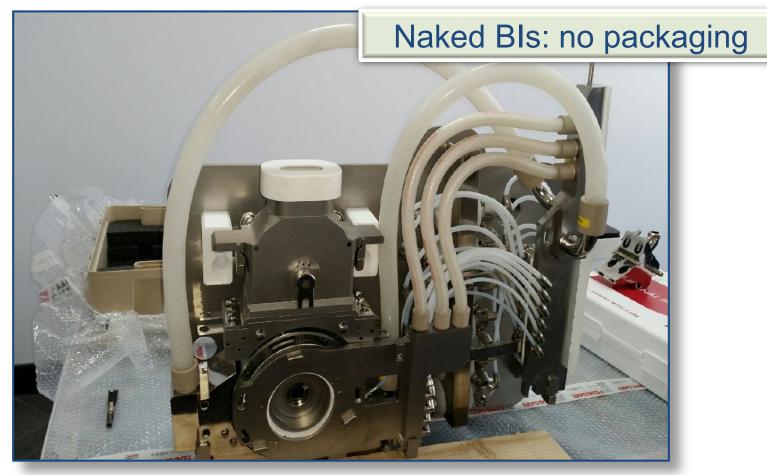
15KV X3,000 10Pm W

0

Fiberglass

Laminated Aluminum Foil



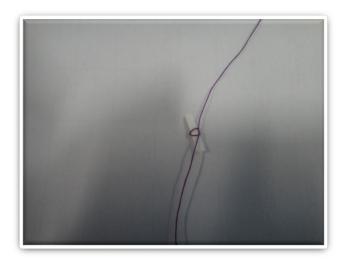
















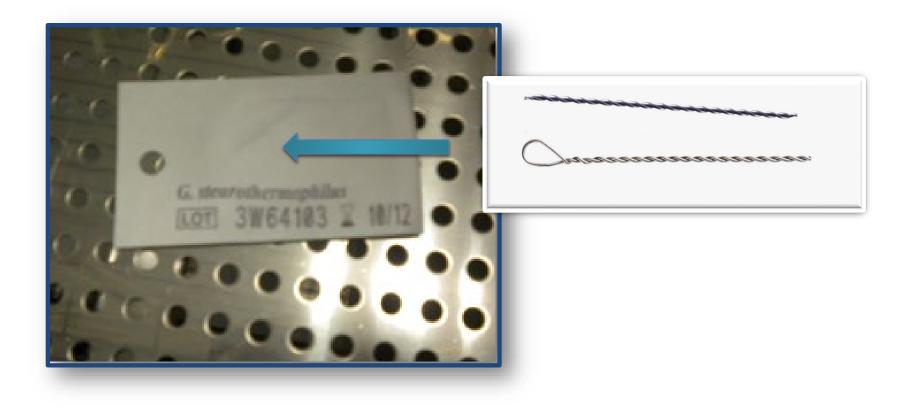




















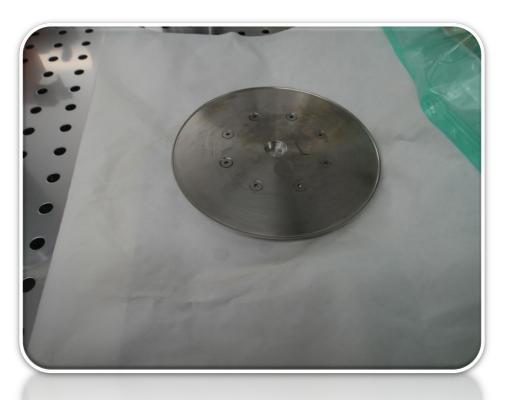
Spore suspension that is inoculated on or into representative units of the product to be sterilized



Application: sterilization of vials closed with rubber stoppers, plungers of syringes...







SPORE SUSPENSIONS INOCULATED ON A SURFACE



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- Spore supensions with a known D-value should be used to inoculate the actual or simulated product.
- In the case of liquid inoculated products, its advisable to determine the D-value of the biological indicator microorganism in the specific liquid product.









Self-contained indicators











Sealed system that includes the growth medium for recovery of process exposed BI microorganisms.









If the self contained is a paper strip or a disk in a package that includes a culture medium, the package design should be penetrable by the sterilizing agent.









After the sterilization cycle the spores disc or strip is immersed in the culture medium by manipulation which allows contact with the culture medium









Self- contained biological indicators may also consist of a spore suspension in its own medium; they often contain a dye which indicates positive or negative results after the incubation period.

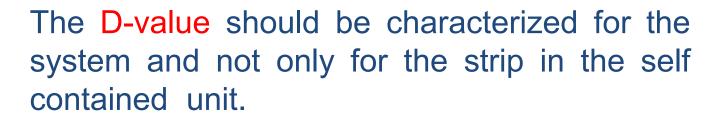








The entire system provides resistance to the sterilization process.









The user should establish in-house acceptance standards for BIs and consider rejection in the event the BI does not meet the established in-house performance standards.





	USP 42 General Chapter 1229	Eu. Ph. X ed.
BI USER'S RESPONSIBILITY	 Suitability for use must be established Should obtain a certificate of analysis Resistance of BI need not be reconfirmed when used according to manufacturer's directions When the BIs are not used according to manufacturer's directions the following BI's characteristics need to be reconfirmed Resistance Identification Purity Population Packaging and Storage Expiration Data Disposal For the custom-made BI, the user must determine D-value population 	 Suitability for use must be established Should obtain a certificate of analysis Resistance of BI need not be reconfirmed when used according to manufacturer's directions When the BIs are not used according to manufacturer's directions the following BI's characteristics need to be reconfirmed Resistance Identification Purity Viable count • For the custom-made BI, the user must determine D-value z-value If the BI's manufacturer can't be audited, the resistance of BI shall be independently verified

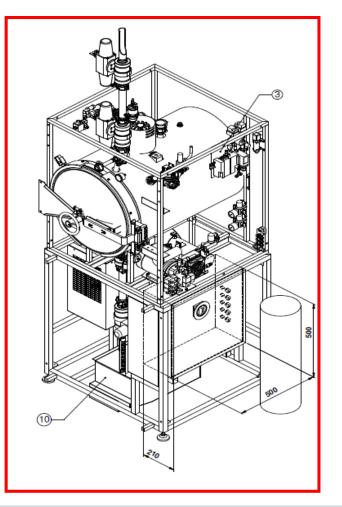


		r Industrial Use ICATE OF A		IS
Reorder No.:				15
Geobacillus	stearothermophilus	79	953(1)	
For: Steam	sterilization			
Culture: S	oybean casein diges	st broth.		
Purity: N	o evidence of conta	minants using s	tandard pla	te count techniques.
Lot No.: C				
Manufacture	Date: 2015 Apr	il 13		
Expiration:	2017 April 13			
Heat Shocked	l Population: 2.	1 x 10 ⁶ Spores/I	Jnit	*
Carrier Size:				
Assayed Resi	stance:			
	D-value ⁽²⁾	Survival ⁽³⁾	Kill ⁽³⁾	
Temperature				





D value determination





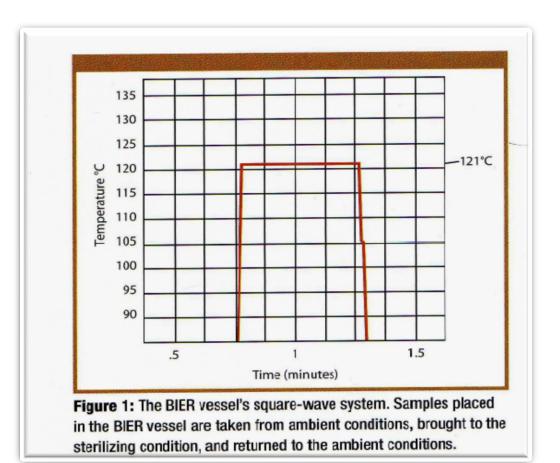
The user may consider conducting a D value determination



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D value determination



Square wave profile





Is it a solid load or a liquid one?



















Customer's choice: self-contained BI. They were inserted into the empty bag.

Is it the right choice?

















Culture media sterilization

The effects of the sterilization method and conditions on the media should be validated by sterility and growth-promotion testing of the media. In addition, if sterilized by moist heat, the autoclave cycle should be validated to ensure proper heat distribution for selected loads and volumes. Typically, manufacturers recommend using an autoclave cycle of 121° for 15 minutes using a validated autoclave. These conditions apply to time at temperature of the media. As container size and the load configuration of the autoclave will influence the rate of heating, longer cycles may be required for larger loads. However, the sterilization time will be dependent on the media volume and autoclave load. Sterilization cycles in which the autoclave is slow to come up to temperature may result in overheating of the media. Therefore, care must be taken to validate a sterilization cycle, balancing the need for sterile media against the tendency of the media to degrade under excessive heating.

USP 42 General chapter (1117) MICROBIOLOGICAL BEST LABORATORY PRACTICES





Choice of the right BI



Customer's choice:









Choice of the right BI









Bls supplier's

At the end of the sterilization cycle.... Why the biological indicators changed their color?

"The media turning brown during a long cycle is normal. All liquid media is susceptible to thermal degradation which will change the color of the media. What occurs is that the sugars in the media will caramelize and change the color of the media. The color of a thermally insulted liquid BI can range from light purple to grey to light brown to dark brown but generally the longer the cycle the more discolored the media will become. If your cycle provides enough thermal insult to degrade the color of the media, it is best to use a negative control to have a comparison as to what a negative result from your cycle should look like. The purpose of the negative control which contains no spores is to process them in the same cycle with the regular ampoules containing spores and incubate both until the reads are taken. At the end of the incubation period, the negative control is then compared to the MagnaAmp which contained spores."

MesaLabs



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- Plastic bioreactor to be sterilized fully assembled
- 1 liter of water contained



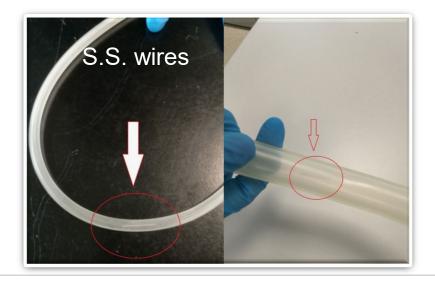




- Plastic bioreactor to be sterilized fully assembled
- 1 liter of water contained

CHOICE OF THE BIs









- Plastic bioreactor to be sterilized fully assembled
- 1 liter of water contained

CHOICE OF THE BIs







Anything else to be tested?



















Eu. Ph. X ed.

EU GMP







Health Technical Memorandum-01-01 part 3

Microbiological test for PQ

2.51 This test is designed to be used in exceptional circumstances as an additional PQ test for steam sterilizers. The microbiological test should ideally follow a satisfactory thermometric test, using the identical loading condition and operating cycle. There may be situations where thermometric tests are not

possible, for example with narrow-lumened instruments, where it is not physically possible to place a thermocouple or temperature sensor into the lumen without altering the nature of the load. Reference should be made to BS EN 556-1 for sterility assurance requirements.

Use of biological indicators

2.100 Biological indicators are designed to show whether specified sterilization conditions have been attained, by the survival of test microorganisms. However, they should not be used for routine monitoring of steam sterilization processes. In exceptional circumstances where the use of biological monitors could be considered, advice should be sought from the Microbiologist (Decontamination).





European Good Manufacturing Practice, Annex 1

"Before any sterilisation process is adopted its suitability for the product and its efficacy in achieving the desired sterilising conditions in all parts of each type of load to be processed should be demonstrated by physical measurements and by biological indicators where appropriate"

> EudraLex The Rules Governing Medicinal Products in the European Union

> > Volume 4 EU Guidelines to Good Manufacturing Practice Medicinal Products for Human and Veterinary Use

<u>Annex 1</u> <u>Manufacture of Sterile Medicinal Products</u> <u>(corrected version)</u>





European Pharmacopoeia X ed. chapter 5.1.1

In cycle validation, the relevant positions in the load that are the most difficult to sterilise are determined and adequate biological effectiveness is verified by biological indicators in these positions or products, whichever is relevant.





United States Pharmacopoeia 42

The goal of a validation activity is the confirmation of acceptable heat penetration using temperature measurements and biological indicator challenges.

Biological indicators may also be used to monitor established sterilization cycles and in period revalidation of sterilization processes.



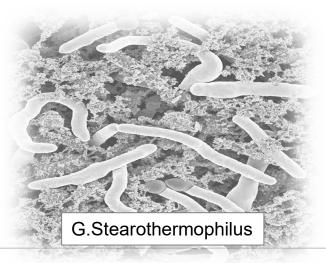




Parenteral Drug Association

"Performance qualification consists of two elements: physical qualification and biological qualification"

PDA, TR # 1, revised 2007







Consistency between physical and microbiological

result is central to sterilization validation.

Physical data taken from temperature and pressure measurements cannot alone provide confirmation that specified conditions required for lethality have been achieved in items where steam penetration or heat penetration may be difficult.





Consistency between physical and microbiological

result is central to sterilization validation.

Likewise, the destruction of a BI without consideration of the physical parameters needed to kill the BI does not provide sufficient evidence of the suitability of the cycle.





During a biological performance qualification, after having chosen the biological approach and the BI to use, evaluate the effectiveness of the sterilization cycle using the same batch of BI, if possible.







Monitor the process leaving biological indicators in the same position considered for thermal qualification: worst case locations, cold spots, should be monitored.





Validation methodologies

Bioburden based

OVERKILL

Validation methodologies: which is the best one? A microbiological point of view







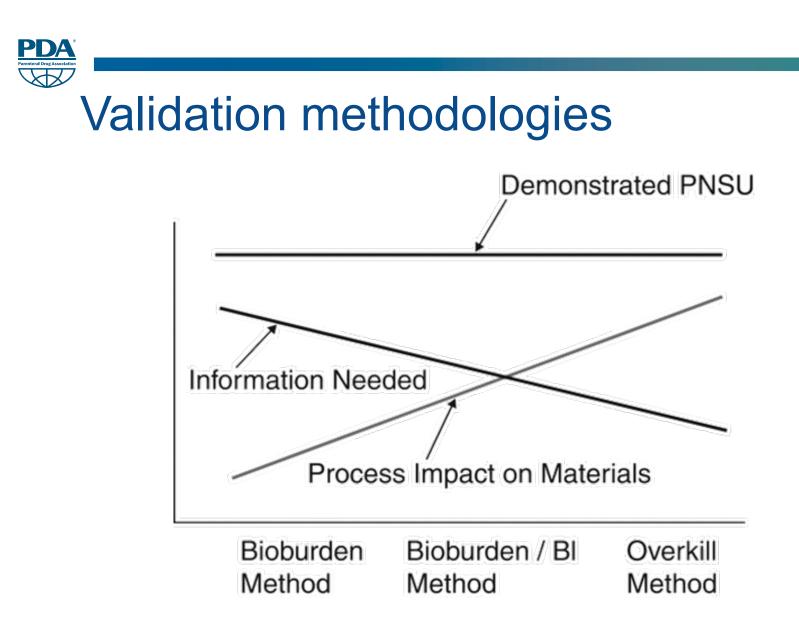
Validation methodologies

The different approaches were developed because of the differences in the heat resistance of the materials to be sterilized.













OVERKILL STERILIZATION

Microbiological target: the objective is a maximum PNSU of $\leq 10-6$ for the bioburden

Product: inert to the sterilizing agent

Bioburden knowledge: some bioburden knowledge, not so extensive as bioburden data required for bioburden process or BI/BB process

Use of BIs: process-resistant biological indicators with 10⁶ spores and is demonstrated biologically based upon the spore log reduction of calibrated biological indicators.

Overkill is generally defined as a process that would deliver a minimum of F_0 of 12 minutes and is demonstrated biologically based upon the spore log reduction of calibrated biological indicators.







BI/BB APPROACH

Microbiological target: the objective is a maximum PNSU of $\leq 10-6$ for the bioburden

Product: heat- labile one

Bioburden knowledge: it requires detailed knowledge of the bioburden and biological indicator populations and their relative resistance.

The relative resistance of the selected biological indicator to that of the bioburden must be established on or in the product.

Use of BIs: frequentely, biological indicators bearing approximately 10^6 spores with D₁₂₁-value > 1 minute are used in the development of such processes.

BB/BI is a method in which the incomplete destruction (or destruction of a modest population) of a resistant biological indicator can be used to demonstrate the capability of the process to reliably destroy any bioburden.







BIOBURDEN APPROACH

Microbiological target: the objective is a maximum PNSU of $\leq 10-6$ for the bioburden

Product: heat- labile one

Bioburden knowledge: requires extensive knowledge of product bioburden, routine monitoring of the bioburden population and its resistance to the sterilization process is mandatory. The bioburden-based method requires the user to develop suitable critical control points within the process to control the bioburden titer.

Use of Bls: none

The bioburden-based method is used when material stability is limited or when there are no suitable biological indicator microorganisms available to use with the sterilizing process.







Syringes validation

OVERKILL APPROACH

If the liquid product is *not heat sensitive* and you are using the overkill method, then you could either directly inoculate the product or you could use sealed ampoule BI that is of a similar volume as the prefilled syringes, such as 1 mL MagnaAmp or 4 mL ProSpore, and place the BI in the chamber next to the syringes. In this way, the BI acts as a surrogate and experiences the same conditions as the prefilled syringes.

There are a couple of considerations to this method.

You may need to perform studies to show that spores in the liquid will be killed in the same amount or less time than the spores in the BI.

If the product has antimicrobial properties, then you will need to use a surrogate liquid that closely represents the actual product.





Syringes validation



BI/BB APPROACH

For heat – labile products

If you are using the BI/bioburden method then you must determine the D-value of the BI spores in the product and, with this information, select a BI with equal or greater resistance.

Again, if the product has antimicrobial properties, then you will need to use a surrogate liquid that closely represents the actual product.





Syringes validation



BIOBURDEN APPROACH

For heat – labile products.

If you are using the bioburden method, then you will need to determine your most resistant bioburden and this would be used to directly inoculate the product.

And of course, if the product has antimicrobial properties, then you will need to use a surrogate liquid that closely represents the actual product





The overkill process is frequently used when the article to be sterilized is completely inert to the sterilizing agent and sterilization cycle conditions without any concern for loss of product attributes or quality.









.....When using this process, some bioburden knowledge should be available to ensure that the materials are not adulterated before sterilization....

(USP 42, General Chapter 1222)

....Where overkill sterilisation parameters are set for terminally sterilised products, bioburden might be monitored only at suitable scheduled intervals.....

(Annex 1, EU GMP)







Overkill sterilization is a method in which the destruction of a high concentration of a resistant biological indicator can be used to demonstrate the capability of the process to reliably destroy any bioburden initially present on or in the load items.

Generally, process-resistant biological indicators containing approximately 10^6 spores with a determined D – value are used to establish the effectiveness of the sterilization process.

Overkill is generally defined as a process that would deliver a minimum F_0 of 12 minutes and is demonstrated biologically based upon the spore log reduction of calibrated BIs.





"The objective of the overkill design approach is to assure a level of sterility assurance regardless of the number and heat resistance of the actual bioburden in the load." (PDA TR # 1 rev. 2007, Clause 4.1.1.1)

To convert this objective in practical criteria, it is assumed a microbial population with these values for population and resistance:

$$N_0 = 10^6$$

 $D_{121} = 1'$
 $z = 10^\circ$ C



Using the above values, the design requirements for the delivered lethality, Fphy, Fbio, can be calculated as follow:

```
F_0 = 1.0 \times Log (10^6 / 10^{-6}) = 12'
```





Overkill sterilization: examples

- Calculated to provide a minimum 12 log reductions of microorganisms having a D-value of one minute at 121° C.
- Demonstration of 121° C for 15 minutes throughout all parts of a load.
- Through the complete inactivation of a microbial challenge of 10⁶ spores of Geobacillus Stearothermophilus throughout the load.
- A process which demonstrates a minimum F₀ of 12 minutes throughout the load.







Overkill sterilization

The reference cycle for steam sterilisation is 15 min at 121°C in saturated steam determined in the coldest position of the chamber.

European Pharmacopoeia



10.1 Supplement Implementation: 04/2020







Bioburden/Biological indicator sterilization



"The bioburden-based method is used when material stability is limited or when there are no suitable biological indicator microorganisms available to use with the sterilizing process."

USP 42, General Chapter 1229







Bioburden/Biological indicator sterilization



"Bioburden/biological indicator based sterilization is an approach in which the incomplete destruction (or destruction of a modest population) of a resistant biological indicator can be used to demonstrate the capability of the method to reliably destroy the bioburden present.

This is accomplished using detailed knowledge of the bioburden and biological indicator populations and their relative resistance.



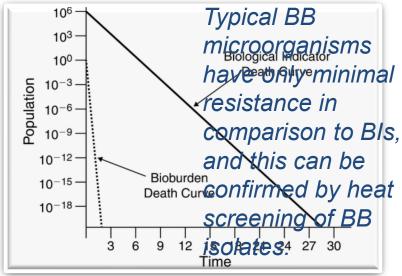
USP 42, General Chapter 1229



PDDA® Parenteral Drug Association

Bioburden/Biological indicator sterilization

It relies on substantial differences between the population of the bioburden present and the biological indicator used during validation.









Bioburden/Biological indicator sterilization

The conventional BIs for terminal sterilization using BB/BI method are:

Clostridum sporogenes ATCC 7955

Bacillus Subtilis ATCC 5230

although other strain can be used.



The use of *G. stearothermophilus* is uncommon for the specific application because its strong resistance to moist heat makes it poorly suited for this application.

USP 42, General Chapter (1229.2) MOIST HEAT STERILIZATION OF AQUEOUS LIQUIDS



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Bioburden approach



This process is better suited for clean or ultra-clean products containing a consistently low level of colony forming units (cfu) per product unit. Also, this process may be necessary to permit terminal sterilization of a product that may potentially lose key qualities or attributes as a result of a more rigorous sterilization process.







Bioburden approach



BB method is similar to the BB/BI method. The difference lies in the isolation and characterization of the most resistant bioburden microorganism.

USP 42 General chapter (1229.2) MOIST HEAT STERILIZATION OF AQUEOUS LIQUIDS







Bioburden approach



The worst case isolate is used as the biological indicator in the evaluation of the process.

For use in this manner, it must be cultured to produce a suitable challenge population.

The bioburden of each process must be closely controlled with respect to population and must be monitored for resistance.

USP 42, General chapter (1229.2) MOIST HEAT STERILIZATION OF AQUEOUS LIQUIDS





F₀ (T₀,z): its numbers in Europe



6 March 2019 EMA/CHMP/CVMP/QWP/850374/2015 Committee for Medicinal Products for Human use (CHMP) Committee for Medicinal Products for Veterinary use (CVMP)

Guideline on the sterilisation of the medicinal product, active substance, excipient and primary container







- 1 Table 1 Cycles for steam sterilisation and post-aseptic processing terminal heat treatment and corresponding data required in the quality
- 2 dossier

Cycle	Type of process	Information in dossier*	Bioburden level before steam sterilisation or terminal heat treatment	Bioburden Characterised	Process hold temperature
Ph. Eur. 5.1.1 Reference Cycle	Sterilisation	1, 6	100 CFU/100ml (non-routine)	No	≥ 121 °C for ≥15 minutes
Overkill cycle F _o >12 min	Sterilisation	1, 2, 3, 4, 7	100 CFU/100ml (non-routine)	No	≥ 121 °C
F _o > 8 min	Sterilisation	1, 2, 3, 4, 7	100 CFU/100ml (routine)	No	> 115 °C
F _o > 8 min	Sterilisation	1, 2, 3, 5, 7, 8	100 CFU/100ml (routine)	Yes**	> 115 °C
F₀ > 8 min	Sterilisation	1, 2, 3, 4, 7	100 CFU/100ml (routine)	Yes	> 110 °C
F₀ > 8 min	Sterilisation	1, 2, 3, 5, 7, 8	100 CFU/100ml (routine)	Yes**	> 110 °C
F₀ <8 min	Post-aseptic processing terminal heat treatment	1, 2, 3, 4, 7, 8	0 CFU/100ml, aseptic filtration and processing prior to terminal heat treatment (routine)	Yes***	> 110 °C****
F _o <8 min	Post-aseptic processing terminal heat treatment	1 2, 3, 5, 7, 8	0 CFU/100ml, aseptic filtration and processing prior to terminal heat treatment (routine)	Yes***	> 110 °C****

3 * For clarification of the code numbers, see below

4 ** In-process control demonstrating acceptable heat resistance of bioburden

5 *** The bioburden prior to the sterilisation step (i.e. filtration) should be characterised for heat resistance

6 **** Temperatures below 110 °C may be used if justified. The requirement for additional documentation for such cycles is evaluated on a case by case basis

7 Clarification of the information to be presented in the quality dossier

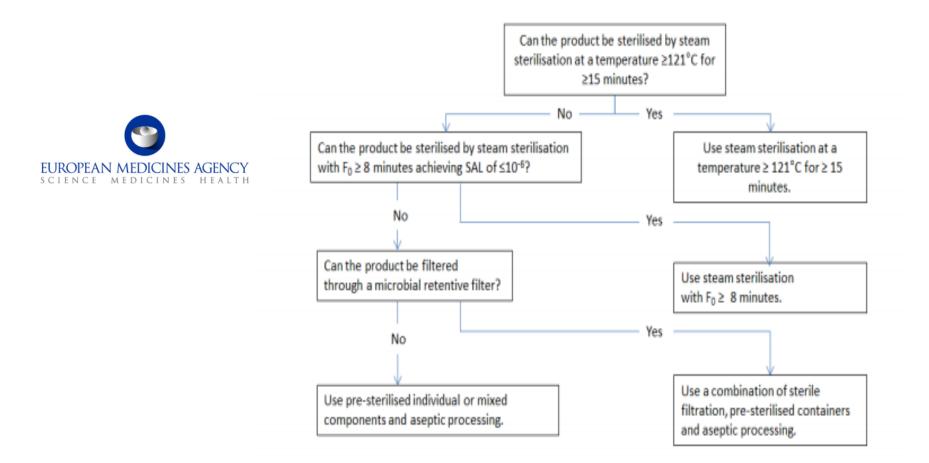
8 1: Sterilisation time, temperature profile

9 2: Sterilisation method (for instance saturated steam cycle, air/steam-overpressure cycle, vacuum phase) description including SAL

- 10 3: Validation of F_{OPhys} and F_{OBio}
- 11 4: Biological indicator with a $D_{121} \ge 1.5$ minutes used in the validation
- 12 5: Biological indicator with a $D_{121} < 1.5$ minutes used in the validation
- 13 6: No validation data requested in the dossier, only a confirmation that validation has been performed.
- 14 7: Validation data to be provided in the dossier is presented below
- 15 8: Additional validation data to be provided in the dossier is presented below



Figure 1 Decision tree for sterilisation choices for aqueous products









How to develop a cycle?

For design purposes, the values selected for N_0 and D_T are based on values determined by bioburden analysis plus additional safety margins that are based on: 1) professional judgment 2) the extent of the bioburden data and 3) the degree of product bioburden testing that will be conducted on an ongoing basis.





Product specific approach: example1

```
a) bioburden testing of product
              N_0 < 10^1 resistant microorganisms per unit of product
           D_{121^{\circ}C} < 0.25 minutes
b) values used for process design
              N_0 = 10^2 microorganisms
              N_{\rm F} = 10^{-6} (\rm PNSU)
           D_{121^{\circ}C} = 0.4 minutes

    calculated minimum lethality to achieve a PNSU of less than 10<sup>-6</sup>

           F_{121^{\circ}C} = (\text{Log } N_0 - \text{Log } N_F) \times D_T
       (Log 10^2 - Log 10^{-6}) \times 0.4 minute = 3.2 minutes
```

Since the design value for resistance is only slightly higher than the heat resistance of microorganisms found in the product, ongoing monitoring of BB population shoud be often conducted.



Product specific approach: example 2

a) bioburden testing of product N₀ < 10¹ microorganisms per unit of product D_{121°C} < 0.25 minutes
b) values used for process design N₀ = 10² microorganisms N_F = 10⁻⁶ (PNSU) D_{121°C} = 1.0 minute
c) calculated minimum lethality to achieve a PNSU of 10⁻⁶ F_{121°C} = (Log N₀ - Log N_F) × D_T (Log 10² - Log 10⁻⁶) × 1.0 minute = 8.0 minutes

The need for BB monitoring is reduced but it has still to be monitored periodically





Parametric release

Parametric release is defined as the release of terminally sterilized batches or lots of sterile products based upon compliance with the defined **critical parameters of sterilization without** having to perform the requirements under **Sterility Test**.

USP 42 – General chapter 1222, Terminally sterilized pharmaceutical products - Parametric release







Parametric release

Requirements: Sterilization Microbiology Control

https://ec.europa.eu/health/sites/health/files/files/eudralex/vol-4/2008_11_25_gmp-an1_en.pdf

10.4 For parametric release systems, the bioburden assay should be performed on each batch and considered as an in-process test. Where appropriate, the level of endotoxins should be monitored.

Does not matter if it is BB or Overkill cycle!



Thank you

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