

PDA VIRTUAL TRAINING COURSE EXTRACTABLES – LEACHABLES

POLYMERS 101

Trainer: Dr. Piet Christiaens, Nelson Labs Europe

Outline



1. What is a polymer?

- 2. Classification of polymers
 - natural vs synthetic polymers
 - thermoplast vs thermoset polymers
 - homo-, co-, cross-linked and grafted polymers
 - polymerisation mechanism
- 3. Properties of polymers
 - morphology
 - glass transition temperature

4. Composition of commercial polymers

- additives
- residues
- catalysts
- oligomers
- degradation compounds

1. What is a Polymer?



A **polymer** is a chemical compound or mixture of compounds consisting of <u>repeating structural units</u> created through a process of polymerization

Greek words:

πολύς (<u>polus</u>, meaning "many, much") **μέρος** (<u>meros</u>, meaning "parts")

Refers to a molecule whose structure is composed of **multiple repeating units**

As a consequence:

a characteristic of <u>high relative molecular mass</u> and
 associated <u>properties</u>

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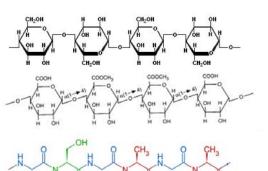


NATURAL POLYMERS:

polymers also exist in nature

- Latex / natural rubber \cap
- Starch Ο
- Cellulose Ο
- Pectin 0

Repeating Isoprene units

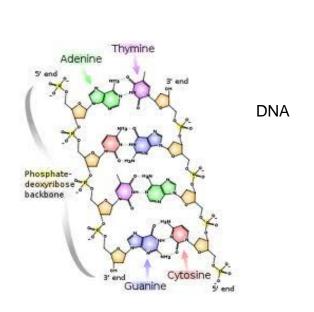


Natural rubber

Repeating D-Glucose units

Repeating Galacturonic acid units

Repeating units of amino acids



o Silk / Wool

DNA

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However, most of the pharmaceutical applications are with **SYNTHETIC POLYMERS**



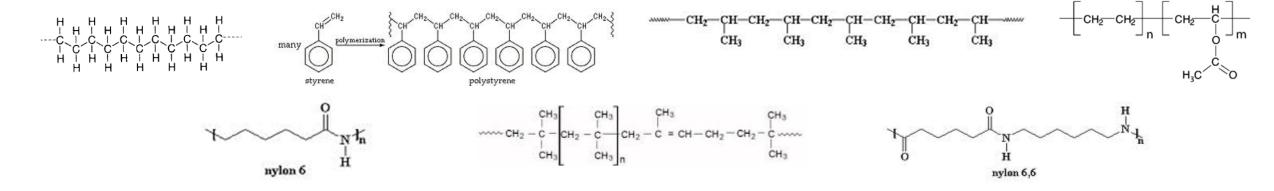


SYNTHETIC POLYMERS

A small fraction are *INORGANIC POLYMERS Example: Siloxanes (PolyDiMethylSiloxanes; PDMS) (SILICONE)*

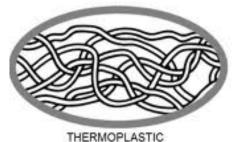


However, most of the polymers are **ORGANIC POLYMERS** Examples: polyethylene (PE), polypropylene (PP), ethylene vinyl acetate (EVA), polystyrene (PS), Isobutylene Isoprene Rubber (IIR rubber), nylon 6, nylon 6,6,...

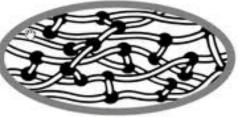




THERMOPLAST VERSUS THERMOSET



"Entangled" polymer chains



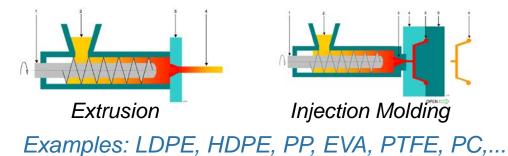
THERMOSETTING

Crosslinked polymer chains

THERMOPLAST:

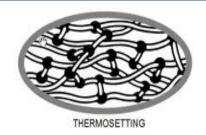
Polymers that soften when heated and become firm again when cooled

Giving the **final form to a container/component** is based upon this principle: extrusion, molding,...





THERMOSET:



Polymers that soften when heated and molded subsequently BUT <u>decompose</u> when reheated (i.e. cannot be reformed after cooling)

Thermoset polymers are typically "cross linked" (irreversible chemical bonds formed during curing process)

Examples:

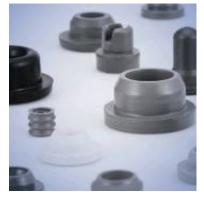
Bakelite

Fenol Formaldehyde Resin



Silicone tubings

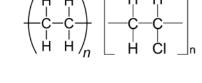


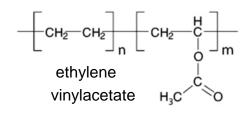


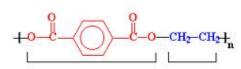
TYPE OF POLYMERS

HOMOPOLYMER: polymer built from a sequence of <u>identical monomers</u> A-A-A-A-A-A-A-A-A-A-A-A-A-A-A *Examples: PE, PP, PVC*

COPOLYMER: polymer built from a sequence of <u>two different monomers</u> **Random** copolymer A-B-A-A-B-B-A-A-B-A-A-A-B *Example: Poly EVA*

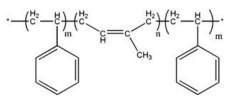






terephthalate et



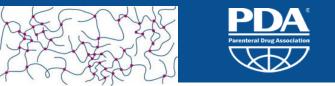


styrene isoprene styrene

Block copolymer

A-A-A-B-B-B-B-B-B-B-A-A

Example: SIS elastomer

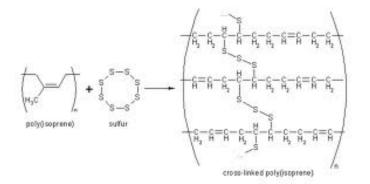


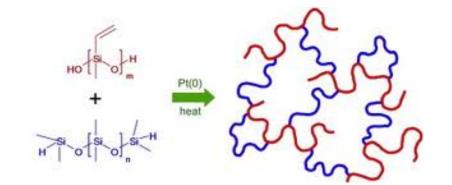
CROSS-LINKED POLYMERS (THERMO-SETS)

EXAMPLES:

Isoprene / butadiene rubbers

Silicone rubbers (Pt-cured)

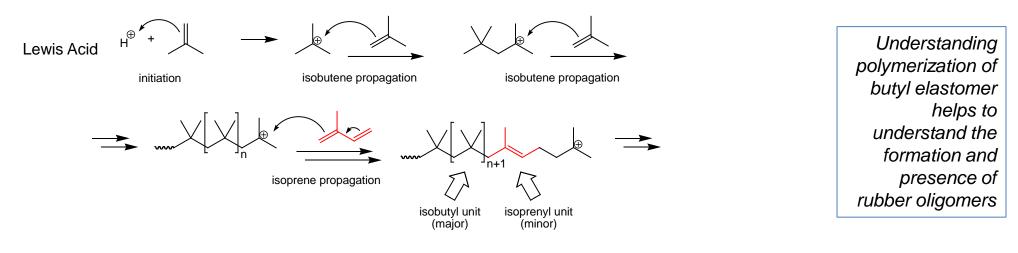




POLYMERIZATION MECHANISM

CHAIN GROWTH:

Example 1: Cationic polymerization of "butyl elastomer"



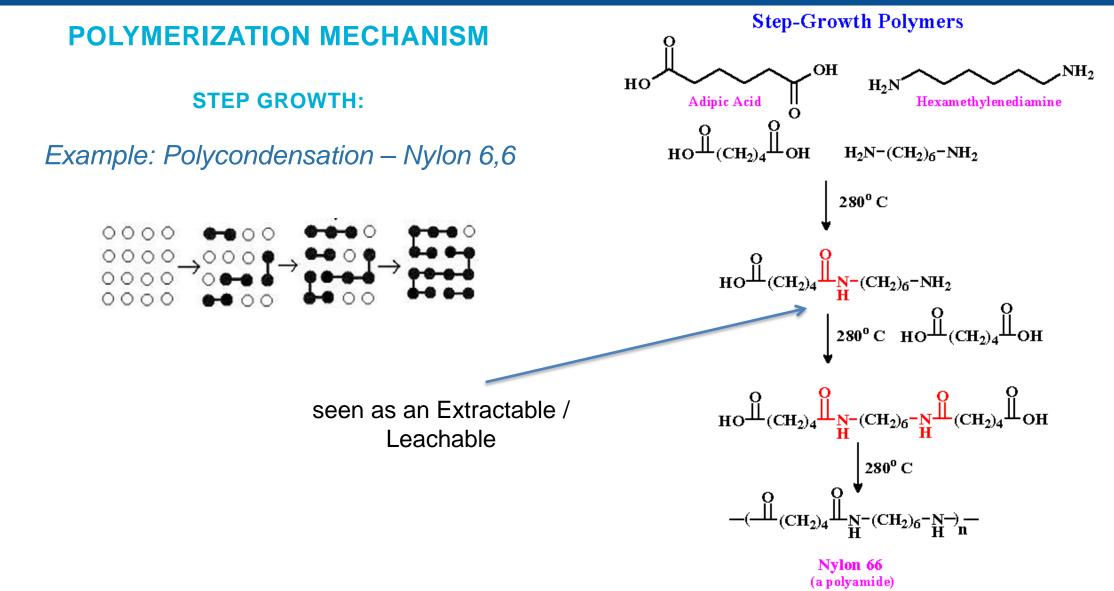
Example 2: Radical polymerization of polystyrene

etc, leading to polystyrene: പെറ്റിന് നിന്നിന്

styrene CH2=CH -СН,-СН CH2 CH2 B







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- catalysts
- oligomers
- degradation compounds

3. Properties of Polymers

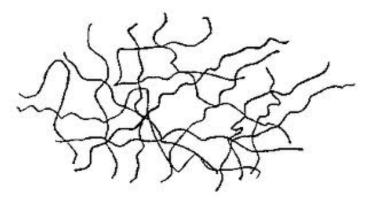


1. MORPHOLOGY

AMORPHOUS POLYMERS

Because of

- Irregularities in polymer structure
- o Nature of the polymer
- Cross-linking (for certain polymers)



No intermolecular bonds (e.g. Hydrogen bonds, Van der Waals forces) will lead to an alignment of the polymer chains

Examples: PS, PVC, SAN, ABS, PMMA, PC, PES

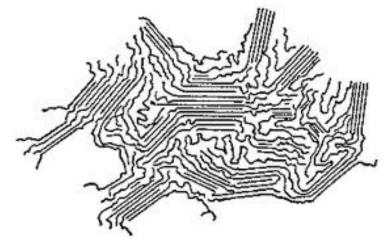
3. Properties of Polymers



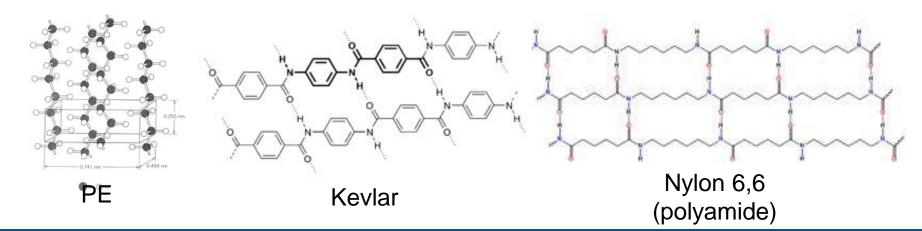
1. MORPHOLOGY

(SEMI-)CRYSTALLINE POLYMERS

Van der Waals forces (e.g. polyolefins)
Hydrogen bonds (e.g. polyamide)
→ Bring "alignment" in chains

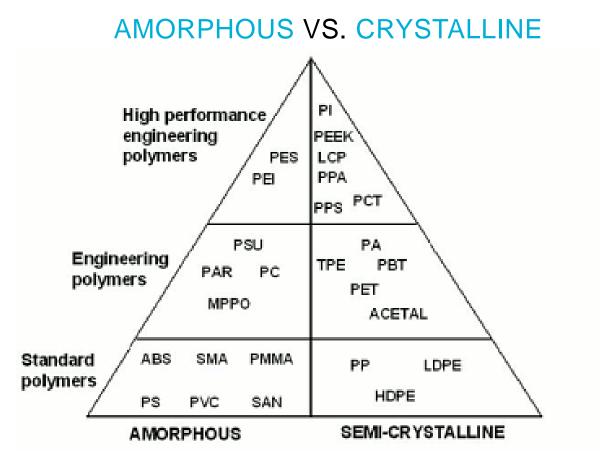


Impact of Stereochemistry of a polymer on physical properties





1. MORPHOLOGY

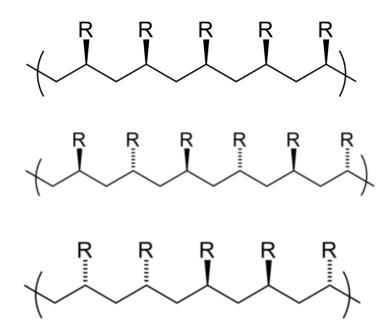




1. MORPHOLOGY

AMORPHOUS VS. CRYSTALLINE

Impact of **StereoChemistry** of a polymer on physical properties



Isotactic *Typically <u>semi-crystalline</u> (e.g. PP via Ziegler-Natta polymerisation)*

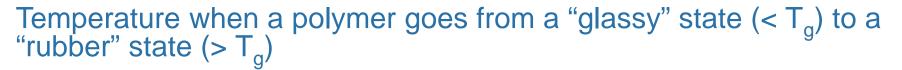
Syndiotactic *PS: Syndiotactic PS is semi-crystalline*

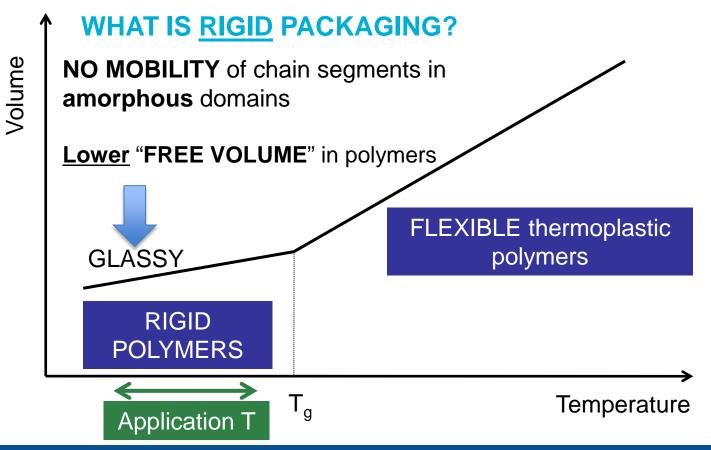
Atactic Typically <u>amorphous</u> polymers PS: Atactic PS is amorphous

TACTICITY MODULATORS, SOMETIMES FOUND AS EXTRACTABLES



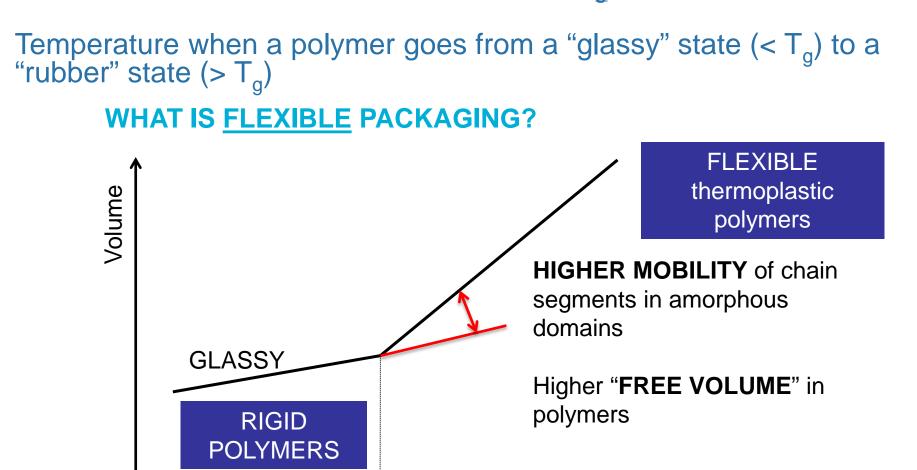
2. GLASS TRANSITION TEMPERATURE (T_g)







2. GLASS TRANSITION TEMPERATURE (T_q)



Application T

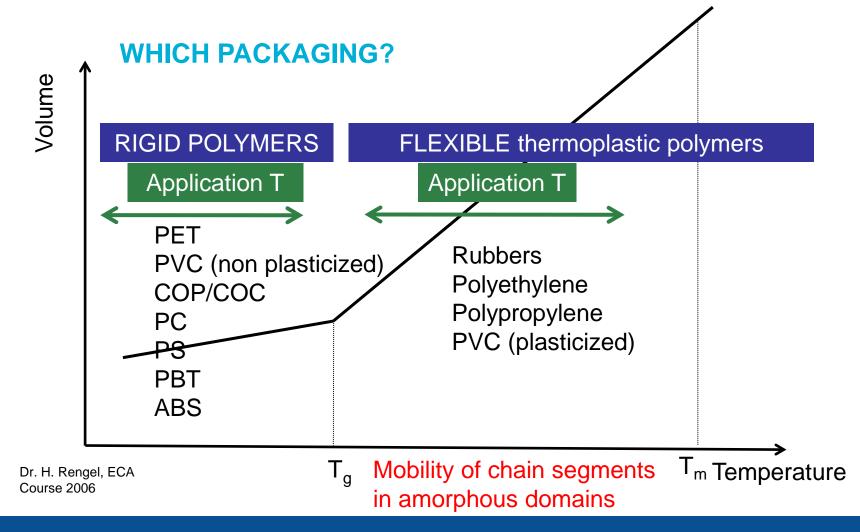
 T_g

Temperature

3. Properties of Polymers



2. GLASS TRANSITION TEMPERATURE (T_q)

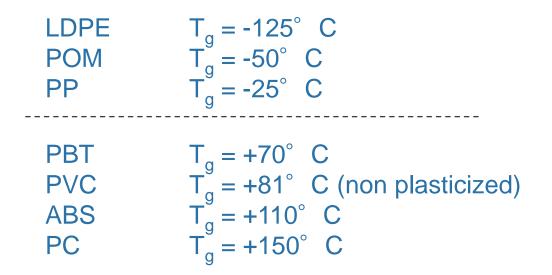


3. Properties of Polymers



2. GLASS TRANSITION TEMPERATURE (T_q)

Examples of T_g for different materials



The T_q of a material will also have an impact on the migration behavior of a material!

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Compounds INTENTIONALLY ADDED to a Polymer

(functionality, protection, processability...)



Anti-oxidants Plasticizers Photostabilizers Slip agents Antiozonants Coupling agents Lubricants Acid scavengers Peroxides / crosslinkers Blowing agents **Pigments / colorants** Antistatic agents Metal chelators Adhesives **Catalysts Clarifying agents** Antifogging agents Fillers

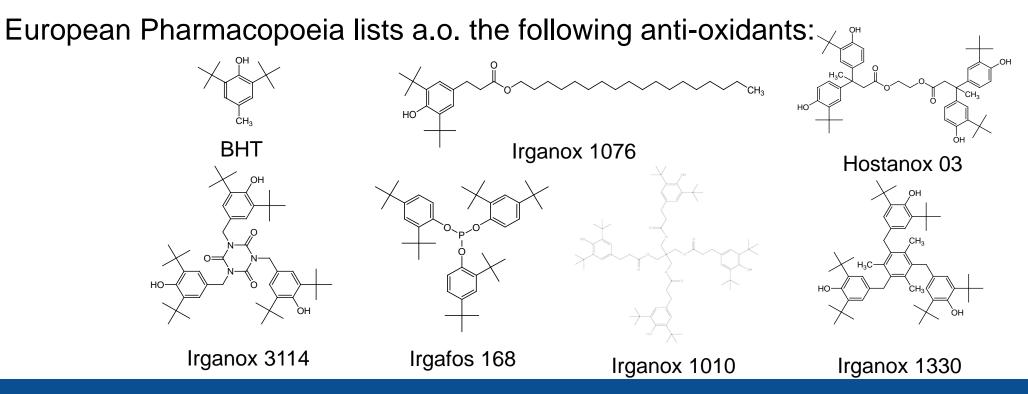
(blue: coming with some examples)

PDA Parenteral Drug Association

Anti-oxidants

<u>Function</u>: assuring protection against thermal and oxidative degradation during processing and during shelf life of polymer

(Sterically Hindered Phenols & Organic Phosphites/Phosphonates are mostly used)



PDA Parenteral Drug Association

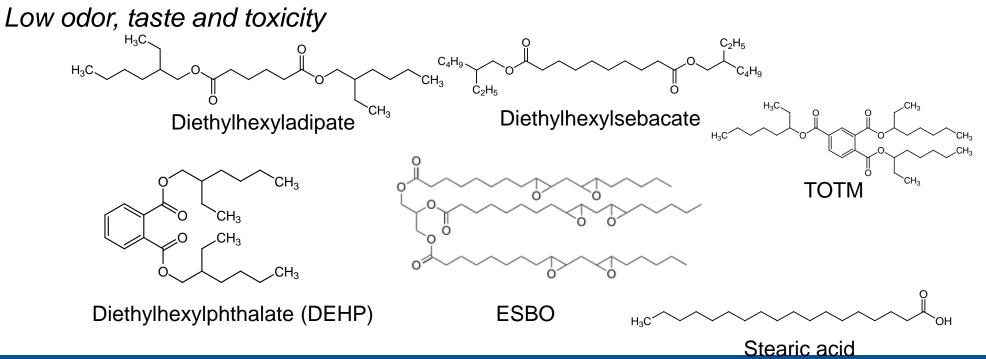
Plasticizers

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Function: gives the plastic flexibility and durability

Plasticizer requirements:

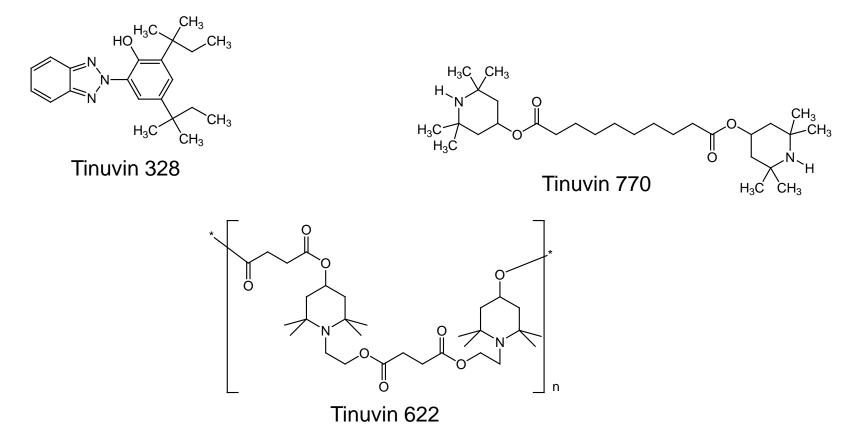
- Low water solubility (low extractibility)
- o Stability to heat and light





Photostabilizers

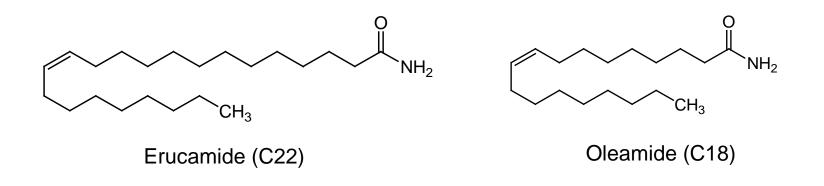
<u>Function</u>: protects the polymer from UV-Degradation (exposure to sunlight)





Slip agents

<u>Function</u>: reduce the "friction" or "film adherence", important when producing bags from films



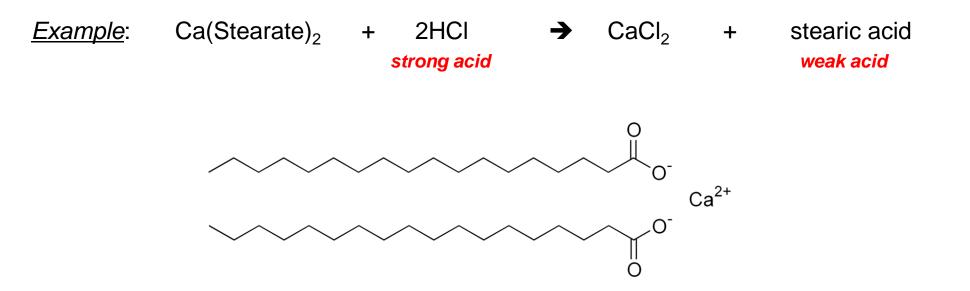
Remark:

because of their specific properties, slip agents will be widely detected as Leachables!



Acid scavengers

<u>Function</u>: Protects the polymer from "acid attacks" through conversion of strong acids (high degradation impact) to weak acids (low degradation impact)

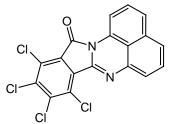




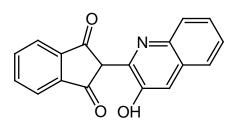
Pigments / colorants

Function: Gives the polymer / rubber the desired color (cosmetic)

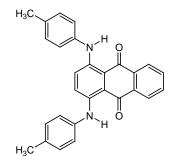
Examples: Carbon Black (PNA's!), TiO₂ (white), Fe₂O₃ (red), Pigment Green 07



Solvent Red



Solvent yellow 114



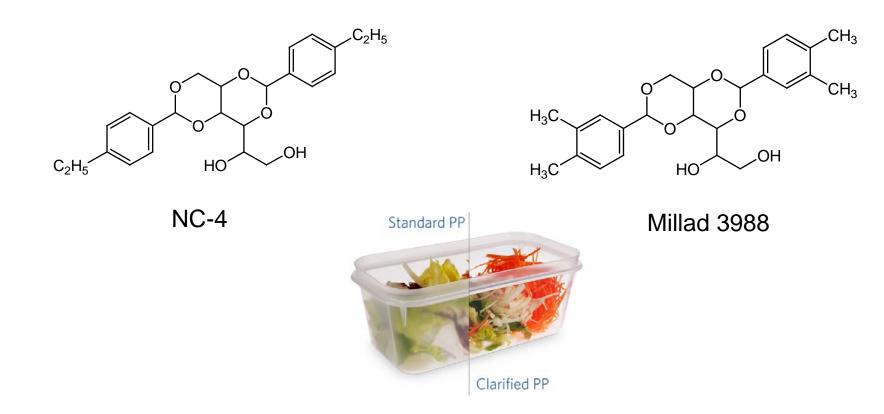
Solvent Green 03

<u>*Remark:*</u> beware of the composition of the masterbatch!



Clarifying agents (nucleating agents)

<u>Function</u>: by controlling the crystallisation (nucleation) when cooling off polypropylene, PP becomes transparent instead of opaque



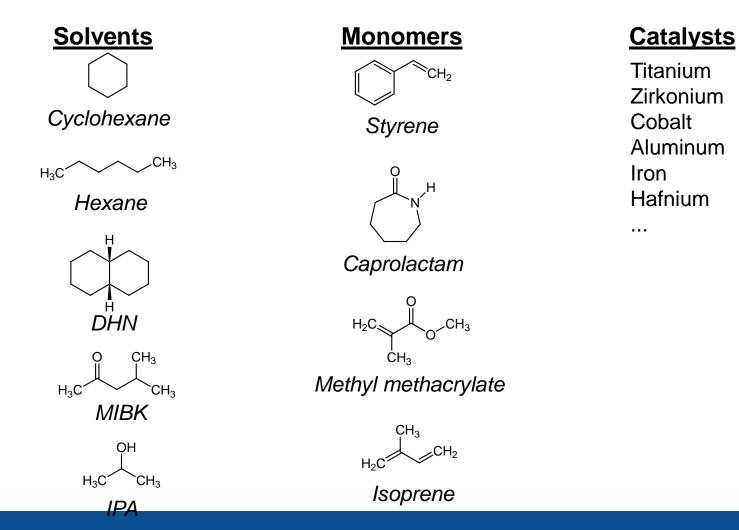


Compounds UNINTENTIONALLY PRESENT in a Polymer

NIAS: Non Intentionally Added Substances

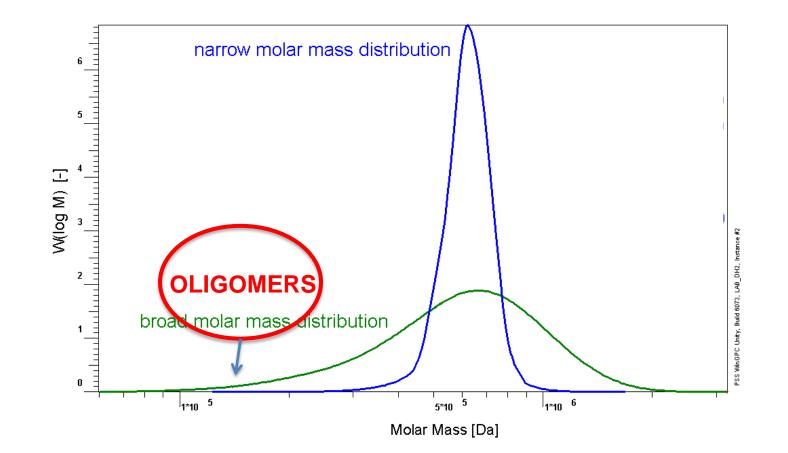


<u>Residues</u>: Residues from the production process (non-limitative)





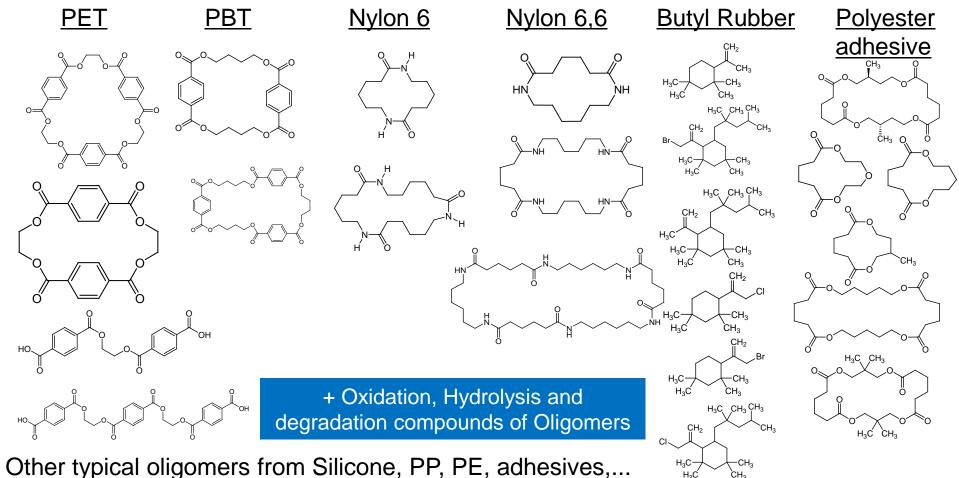
Oligomers:







Oligomers: examples

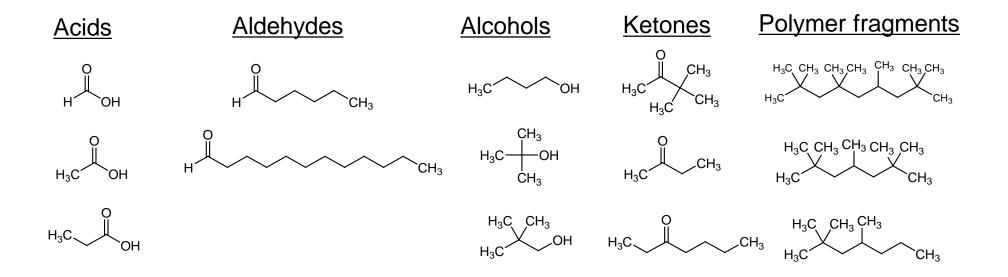




Polymer degradation compounds:

<u>Origin</u>: Oxidative degradation of the polymers (when the polymer is not properly stabilized via anti-oxidants)

Example of polymer degradation compounds from **polypropylene**

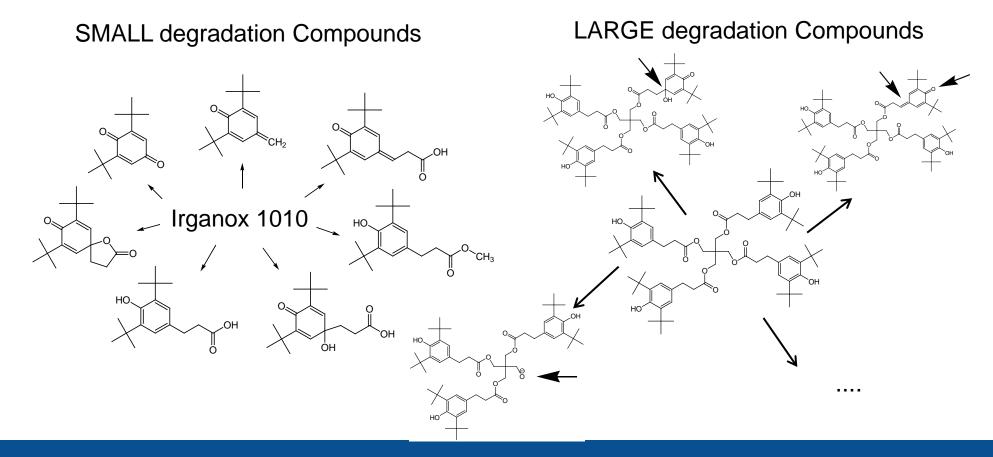


4. Composition of Commercial Polymers



Polymer additive degradation compounds:

Example of polymer additive degradation compounds from Irganox 1010

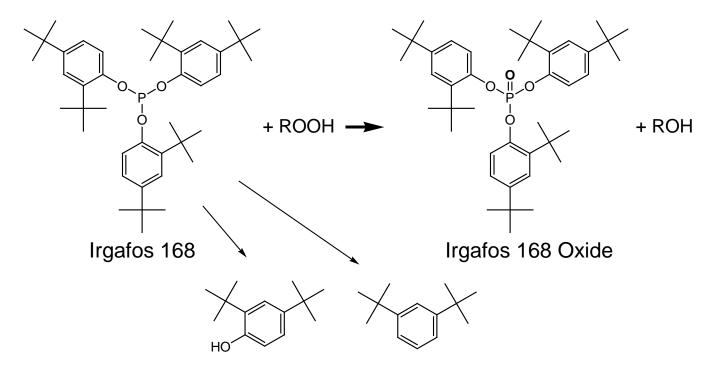


4. Composition of Commercial Polymers



Polymer additive degradation compounds

Example of polymer additive degradation compounds from Irgafos 168



(Remark: also other degradation compounds for Irgafos 168 are known)



Name(s)	Formula	Monomer	Examples of Uses
Polyethylene low density (LDPE)	-(CH ₂ -CH ₂) _n -	ethylene CH ₂ =CH ₂	Films for bags, multilayer contact film
Polyethylene high density (HDPE)	-(CH ₂ -CH ₂) _n -	ethylene $CH_2=CH_2$	Bottles, caps
Polypropylene (PP) different grades	-[CH ₂ -CH(CH ₃)] _n -	propylene CH ₂ =CHCH ₃	Bottles, caps
Poly(vinyl chloride) (PVC)	-(CH ₂ -CHCI) _n -	vinyl chloride CH ₂ =CHCl	Bags, tubings
Polystyrene (PS)	$-[CH_2-CH(C_6H_5)]_n-$	styrene CH ₂ =CHC ₆ H ₅	Secondary packaging
Polytetrafluoroethylene (PTFE, Teflon)	$-(CF_2-CF_2)_n-$	tetrafluoroethylene $CF_2=CF_2$	Containers, seals, tubes, tubings, "inert" coatings,
Poly(methyl methacrylate) (PMMA)	-[CH ₂ -C(CH ₃)CO ₂ CH ₃] _n -	methyl methacrylate $CH_2=C(CH_3)CO_2CH_3$	Implantable lenses (IOL)
Poly(vinyl acetate) (PVAc)	–(CH ₂ -CHOCOCH ₃) _n –	vinyl acetate $CH_2=CHOCOCH_3$	Multilayer films
cis-Polyisoprene natural rubber	-[CH ₂ -CH=C(CH ₃)-CH ₂] _n -	isoprene CH ₂ =CH-C(CH ₃)=CH ₂	Rubbers



THE MECHANISM OF POLYMER MIGRATION

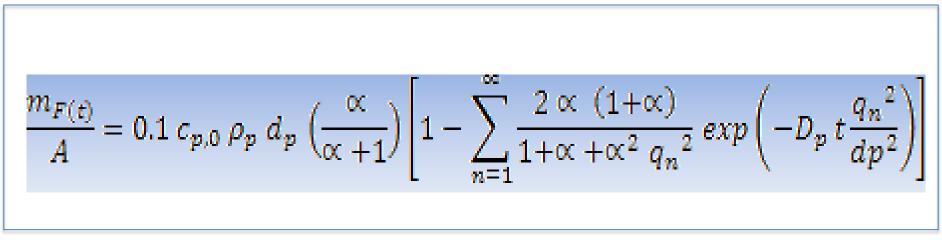
A DESCRIPTIVE APPROACH

Physics of Leachables Migration from Polymeric Materials



Perhaps FABES MODEL could make our lives easier...

General Formula for Modeling the Migration of Leachables



OOPS... not that easy after all!



1. Solubility of LEACHABLE IN Polymer

2. Diffusion of LEACHABLE THROUGH Polymer



- A. Polymer Morphology
- **B.** Temperature
- C. Age/Sterilization
- **D. Structure & Molecular Weight of LEACHABLE**



A. Polymer Morphology

B. Temperature

C. Age/Sterilization

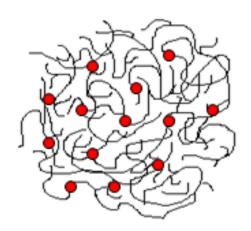
D. Structure & Molecular Weight of LEACHABLE

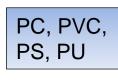


A. POLYMER MORPHOLOGY

AMORPHOUS

SEMI-CRYSTALLINE

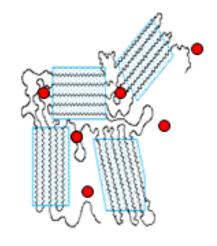


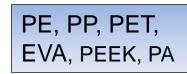


Polymer Additive/Impurity

- » Dissolves in Amorphous Phase
- Insoluble in Crystalline Phase

CRYSTALLINE SITES: BARRIER FOR MIGRATION







A. Polymer Morphology

B. Temperature

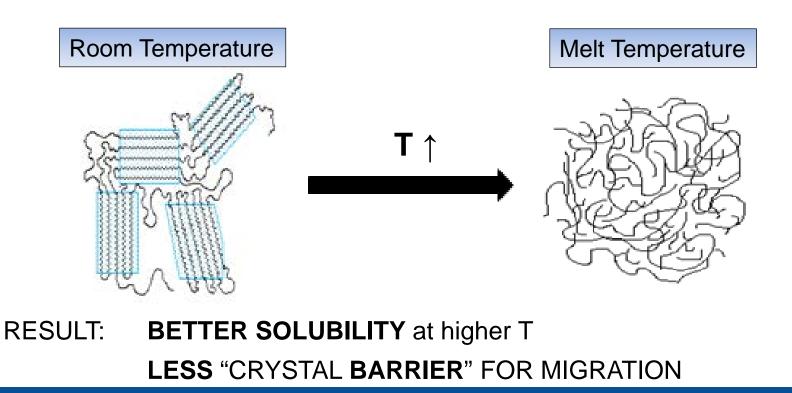
C. Age/Sterilization

D. Structure & Molecular Weight of LEACHABLE



B. TEMPERATURE

As Temperature Increase, Solubility Increases





A. Polymer Morphology

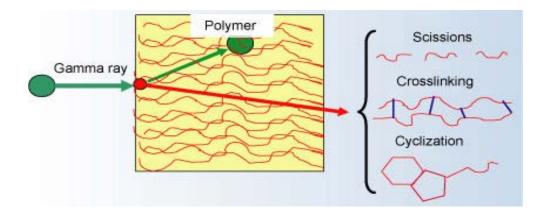
B. Temperature

C. Ageing/Sterilization

D. Structure & Molecular Weight of LEACHABLE



C. AGEING/STERILIZATION



- Polymer Degradation
- Polymer Additive Degradation
- Changes in Polymer Crystallinity

This will **impact** the: LEACHABLES **SOLUBILITY** LEACHABLES **MIGRATION**

CONCLUSION:

» Perform E&L Testing on Final STERILIZED SYSTEMS



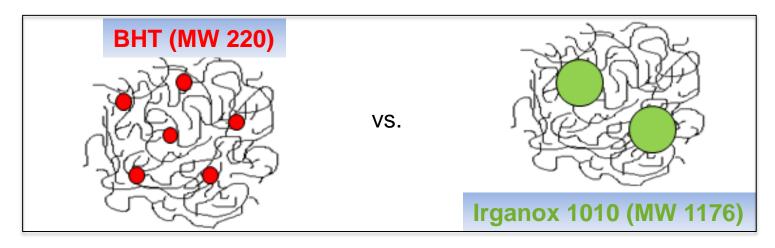
- A. Polymer Morphology
- **B.** Temperature
- C. Age/Sterilization

D. Structure & Molecular Weight of LEACHABLE



D. Structure & Molecular Weight of LEACHABLE

» Molecular Weight: Larger Molecules = Lower Solubility



» Polarity "Match": Structurally ALIKE

MELTING POINT: higher T_{melt} impacted by:

- lower solubility
- molecular symmetrycrystallinity

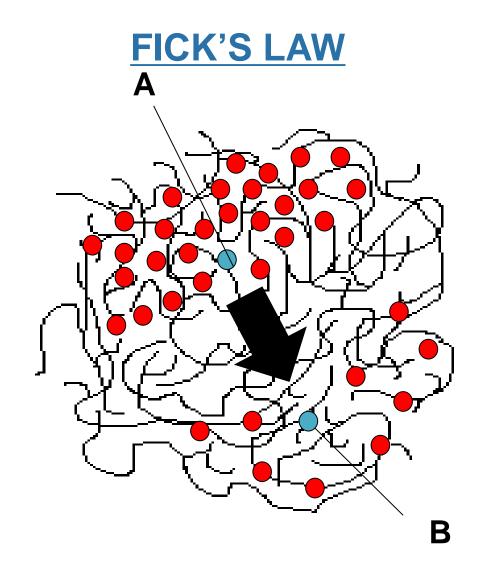


1. Solubility of LEACHABLE IN Polymer

2. Diffusion of LEACHABLE THROUGH Polymer



Diffusion of LEACHABLE <u>THROUGH</u> the Polymer



$$\frac{dC}{dt} = D \frac{d^2C}{dx^2}$$

With D = Diffusion coefficient D = $D_0 \exp(-E/RT)$

)



- A. Polymer Morphology
- **B.** Temperature
- C. Polymer Type (T_g)
- **D. Molecular Weight of LEACHABLE**
- E. Contact Fluid/Environment

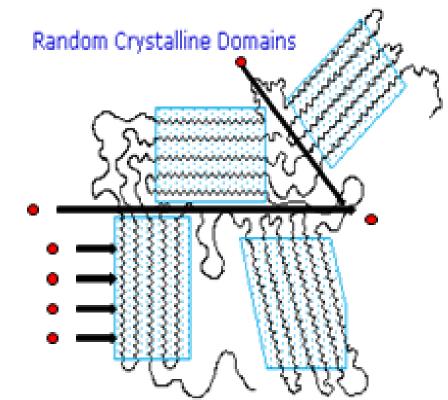


A. POLYMER MORPHOLOGY

- B. Temperature
- C. Polymer Type (T_g)
- D. Molecular Weight of LEACHABLE
- E. Contact Fluid/Environment



A. Polymer Morphology



- » Crystalline Sites: Impermeable Barrier for Polymer Additives
- » Filler Particles: Diffusion Barriers for Polymer Additives
- » <u>Less Diffusion in</u>: SEMI-CRYSTALLINE POLYMERS



A. Polymer Morphology

B. TEMPERATURE

- C. Polymer Type (T_g)
- D. Molecular Weight of LEACHABLE
- E. Contact Fluid/Environment



B. Temperature

Remember:

$$\mathbf{D} = \mathbf{D}_0 \, \mathbf{e}^{(-\mathbf{E}/\mathbf{RT})}$$

Therefore:

If T \uparrow , then D \uparrow

DIFFUSION of impurities/polymer additives will **Increase Exponentially** when **Temperature Increases**



- A. Polymer Morphology
- B. Temperature
- C. POLYMER TYPE (T_g)
- D. Molecular Weight of LEACHABLE
- E. Contact Fluid/Environment



C. Polymer Type

Glass Transition Temperature (Tg)

Polymer transitions from**GLASSY** $(t < T_g)$ to**RUBBERY** $(t > T_g)$

EXAMPLES

LDPE T _g = -125 °C	РВТ Т _а = 70 °С
POM T [°] _g = -50 °C PP T _g = -25 °C	PVC T _a = 81 °C
PP $T_{q}^{s} = -25 {}^{\circ}C$	ABS T _a = 110 °C
	PBT $T_g = 70 \ ^{\circ}C$ PVC $T_g = 81 \ ^{\circ}C$ ABS $T_g = 110 \ ^{\circ}C$ PC $T_g = 150 \ ^{\circ}C$

DIFFUSION IN APOLAR > DIFFUSION POLAR POLYMERS



C. Polymer Type

FREE VOLUME

Ratio of:

Interstitial space (between polymer chains) Total Volume of the Polymer

Polymers in a **Rubber State** (T_g < t) Typically have **HIGHER** Free Volume

More Free Volume PROMOTES Diffusion





- A. Polymer Morphology
- B. Temperature
- C. Polymer type (T_g)

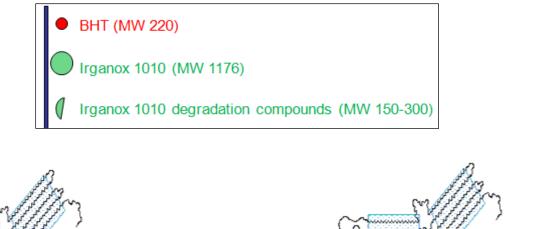
D. MOLECULAR WEIGHT OF THE LEACHABLE

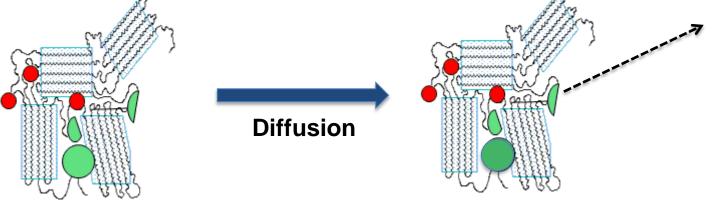
E. Contact Fluid/Environment



D. Molecular Weight of LEACHABLE

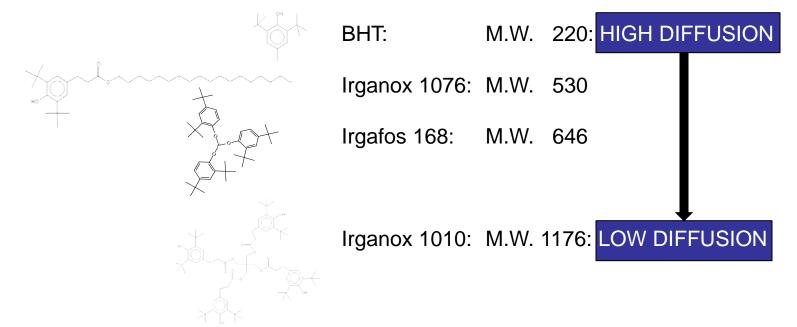
Diffusion Increases with Decrease in M.W.



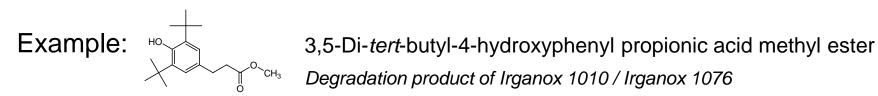




OLIGOMERIC ADDITIVES \rightarrow REDUCING DIFFUSION



Polymer Additive DEGRADATION INTO SMALLER MOLECULES \rightarrow FASTER DIFFUSION OF DEGRADANTS





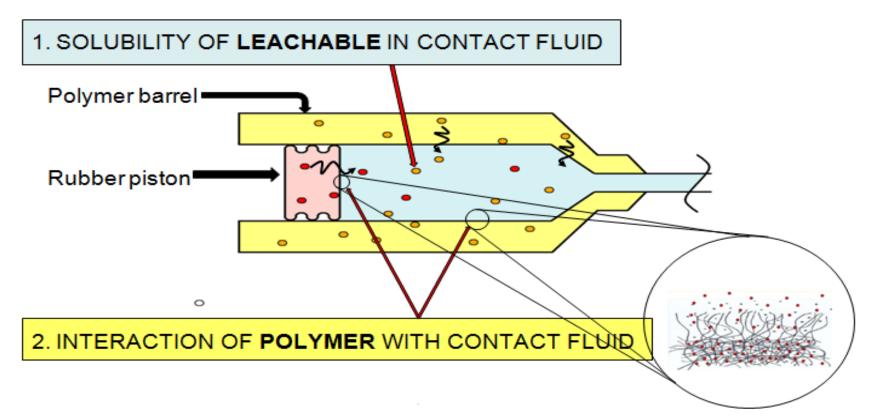
- A. Polymer Morphology
- B. Temperature
- C. Polymer type (T_g)
- D. Molecular Weight of the Leachable

E. CONTACT FLUID/ENVIRONMENT



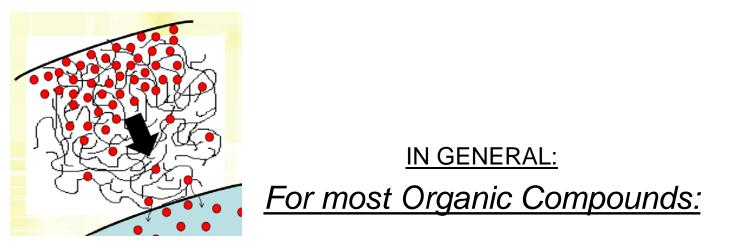
E. Contact Fluid/Environment

Two Important Aspects





1. INTERACTION CONTACT FLUID - LEACHABLE



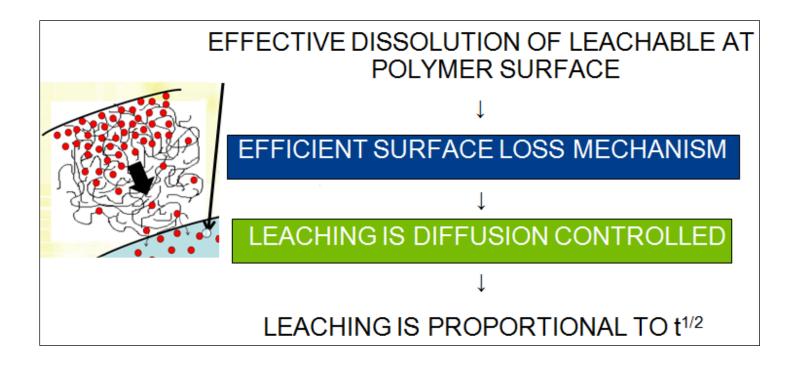
ORGANIC/HYDROPHOBIC CONTACT FLUIDS = **HIGH SOLUBILITY** SOLVENTS

WFI/HYDROPHILIC CONTACT FLUIDS = LOW SOLUBILITY SOLVENTS



E. Contact Fluid/Environment

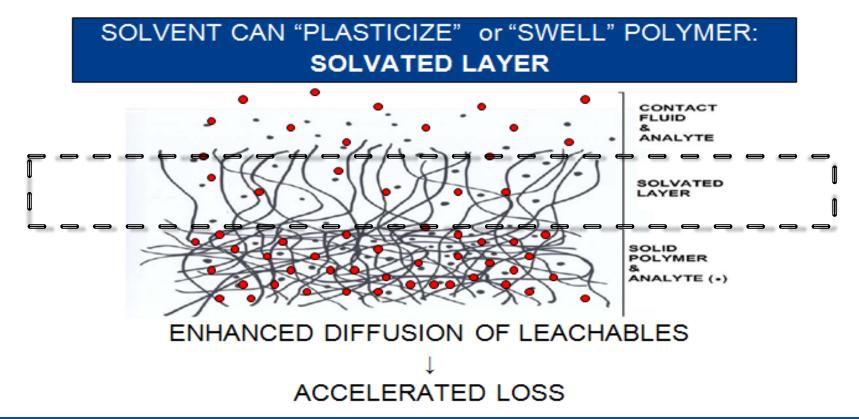
1. Solubility of the Leachable in the Contact Fluid





E. Contact Fluid/Environment

2. Interaction of the Contact Fluid with the Polymer

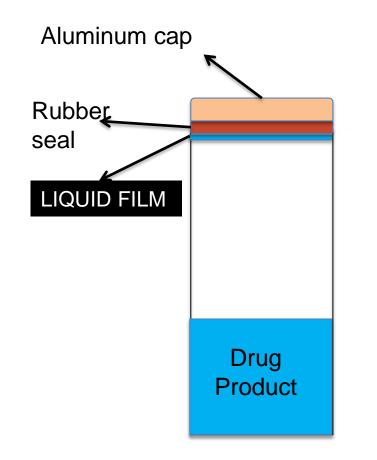




- 1. SuperSaturation
- 2. Outgassing
- 3. Blooming

SUPERSATURATION





LIQUID FILM is formed via

- Evaporation during storage
- Transportation

Film may be different in composition than the DP

Diffusion of Rubber Compounds into small volume

- Metals
- Organic

Can cause Aggregation, Particle Formation

May be irreversible

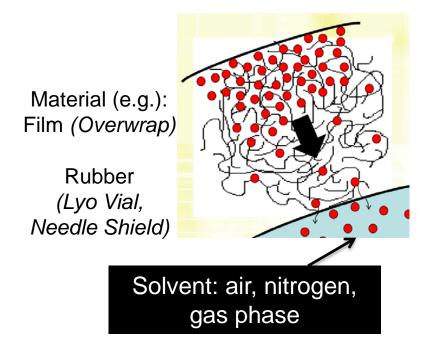
• Particles do not dissolve anymore when in contact with the total DP volume

LIQUID FILM may also act as "barrier"

- for migration
- for outgassing (see next slide)

OUTGASSING





No "Liquid Film" barrier on rubber (see previous slide)

> Lyo Cake = adsorbent



OUTGASSING of RUBBER CLOSURE

High Suface Area and extremely Dry

Outgassing is mainly an issue for:

- Volatile Organic Compounds
- Semi-Volatile Organic Compounds

BLOOMING



What is it?

- Blooming is a physical phenomenon
- Observed in polymers which are (super)saturated with additives
- A process of <u>diffusion controlled migration</u> of additives from the polymer
- Typical for additives with low solubility & high diffusion rate

Typical Conditions when blooming occurs

- » Low solubility of the additive in the polymer
- » <u>High diffusion</u> of the additive through the polymer
- » <u>Dosing</u> of the additive into the polymer <u>close to the solubility</u> of the additive in polymer
- » <u>Low temperature applications</u> may accelerate blooming process (lower solubility, *but also lower diffusion...*)



