# The Behavioural Science of Risk Management

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"...achieving a shared understanding of the application of risk management among diverse stakeholders is difficult."





ICH Q9 (R1) Guideline





... the protection of the patient by managing the risk to quality and availability, when availability risks arise from quality/manufacturing issues, should be considered of prime importance."



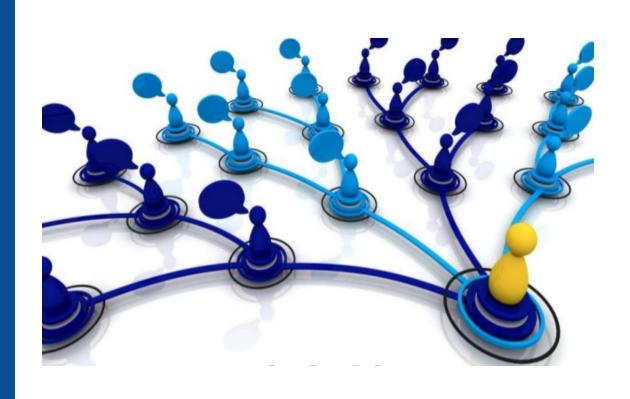
by providing **a proactive means to identify and control**potential quality issues during development and
manufacturing



ICH Q9 (R1) Guideline



"Subjectivity can directly impact the effectiveness of risk management activities and the decisions made."









#### **Proactive Prevention Through People**



- Quality risk management should include systematic processes designed to coordinate, facilitate and improve science-based decision making with respect to risk.
- by providing a proactive means to identify and control potential quality issues during development and manufacturing





#### **Balancing The Level of Effort**

Ireland Chapter

Achieving a Better Balance between *the level of effort* Prospective Risk Management and Proactive Prevention and Continual Improvement







### Introducing the Concept of Risk Excellence



Our mission at the Quality Risk Management Institute is to promote, facilitate and empower Risk Excellence across the biopharmaceutical sector





## What do we mean by Risk Excellence?

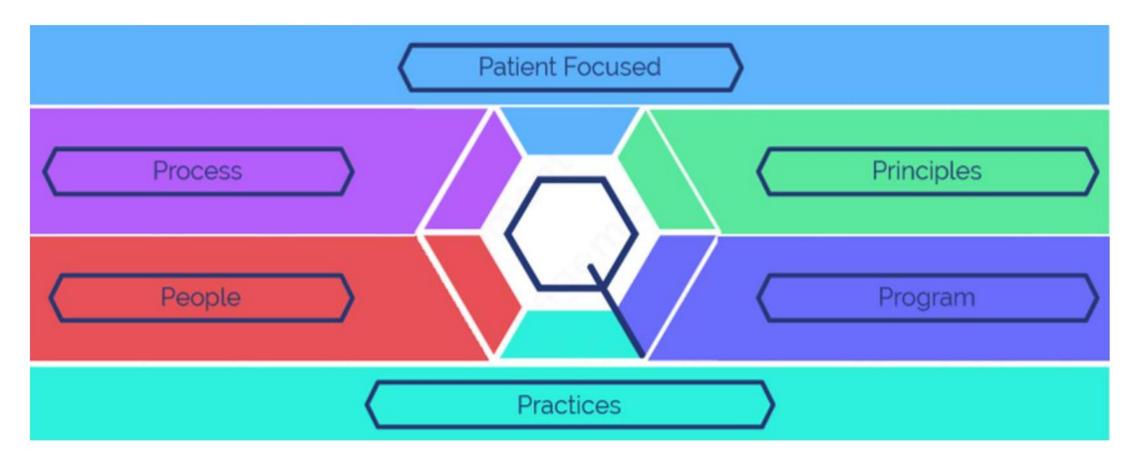
**Risk Excellence** is achieved when every person involved in creating value in their organisation is also actively engaged in protecting that value.

- managing, preventing and reducing risks,
- making timely decisions,
- setting and achieving objectives,
- relentlessly focused on improving performance.

Source: Kaplan, R..S., Mikes, A., A Better Way to Manage Risk , HBR, June 2012



## Using the 6Ps to Achieve Better Balance



#### 6P Model of Risk Excellence

Enables evaluation of current Principles/Policies, Program, Processes, People and Practices to assure Patient Focused Excellence



## Two sides of one coin



**Quality Risk Management** is integral to an effective pharmaceutical quality system.

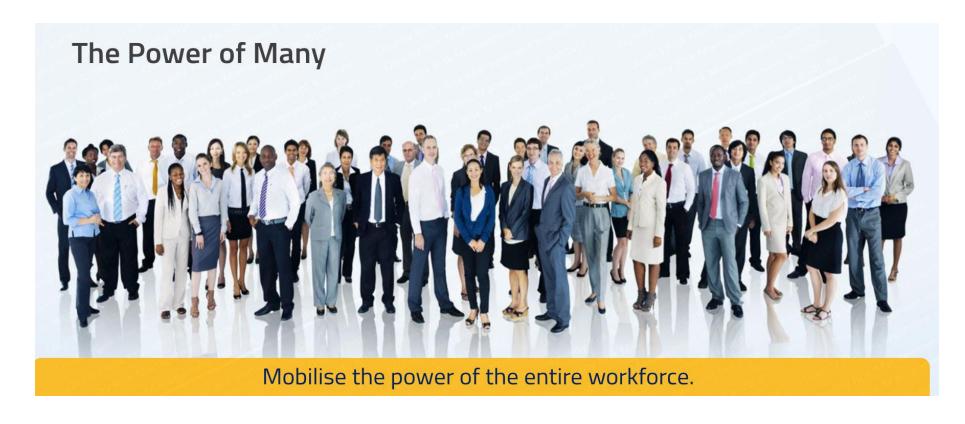
It can provide a proactive approach to identifying, scientifically evaluating and controlling potential risks to quality.

It facilitates continual improvement of process performance and product quality throughout the product lifecycle. (ICH Q10)

The practice of Continuous Improvement within a GxP environment is a proactive form of Risk Management.



# **Crowdsourcing Proactive Risk Prevention...**



Excellence can't be commanded from above. It must be made contagious, individual to individual. The trick is in knowing how to transfer the right mindsets and best practices without "voltage loss" as they spread.

Dr. Robert I. Sutton, Scaling Excellence