

The Behavioral Challenge of Medication Adherence

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Understanding behavioral science

The nature and determinants of medication non-adherence

Facilitating adherence using connected devices and their apps

Using behavior change theory to design targeted adherence interventions

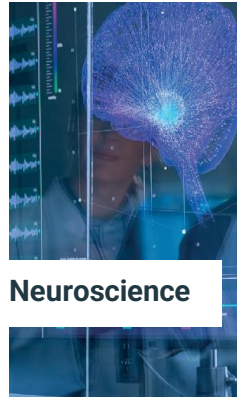
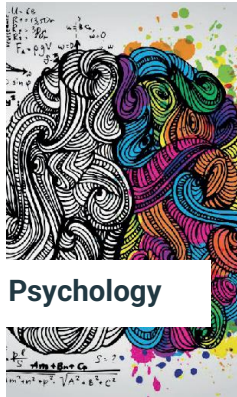
An aerial, long-exposure photograph of a complex multi-level highway interchange at night. The image shows a dense network of overpasses, ramps, and circular loops. The lights from the cars create vibrant, blurred trails of white, yellow, and red, weaving through the structure. The overall scene is a complex, interconnected web of light and motion, set against a dark night sky.

Behavioral science is the scientific understanding of why people make the decisions they do

Behavioral science encompasses multiple areas of research



Individual behavior



Population behavior



It allows us to gain insights into why people behave in unexpected ways



How well do people adhere to medication regimens?



What proportion of people living with long-term, physical health (chronic conditions) take their medication as prescribed?

- A. 30%
- B. 50%
- C. 70%

Adherence to ...

- The range of self-management behaviors necessary to either stay well or to live well with a long-term condition, including
 - Taking medication
 - Using devices and apps to self-monitor and support behavior change
 - Managing mood and well-being
 - Changing diet
 - Increasing physical activity
 - Attending healthcare appointments

Why a focus on medication adherence?

“Drugs don’t work in patients who don’t take them”¹

“Increasing the effectiveness of adherence interventions may have a far greater impact on the health of the population than any improvement in specific medical treatments”²

“Medication adherence is barely on the radar for practicing HCPs”³

1. C. Everett Koop, US Surgeon General, 1985. 2. Sabaté E. World Health Organization; 2003. 3. Kleinsinger F. 2018

Impact of medication non-adherence

Research findings across long-term conditions demonstrate the negative impact of medication non-adherence:



Poor or less than optimal clinical outcomes. Up to 50% of Tx failures^{1,2}



Lower quality of life³



Higher mortality^{1,2}

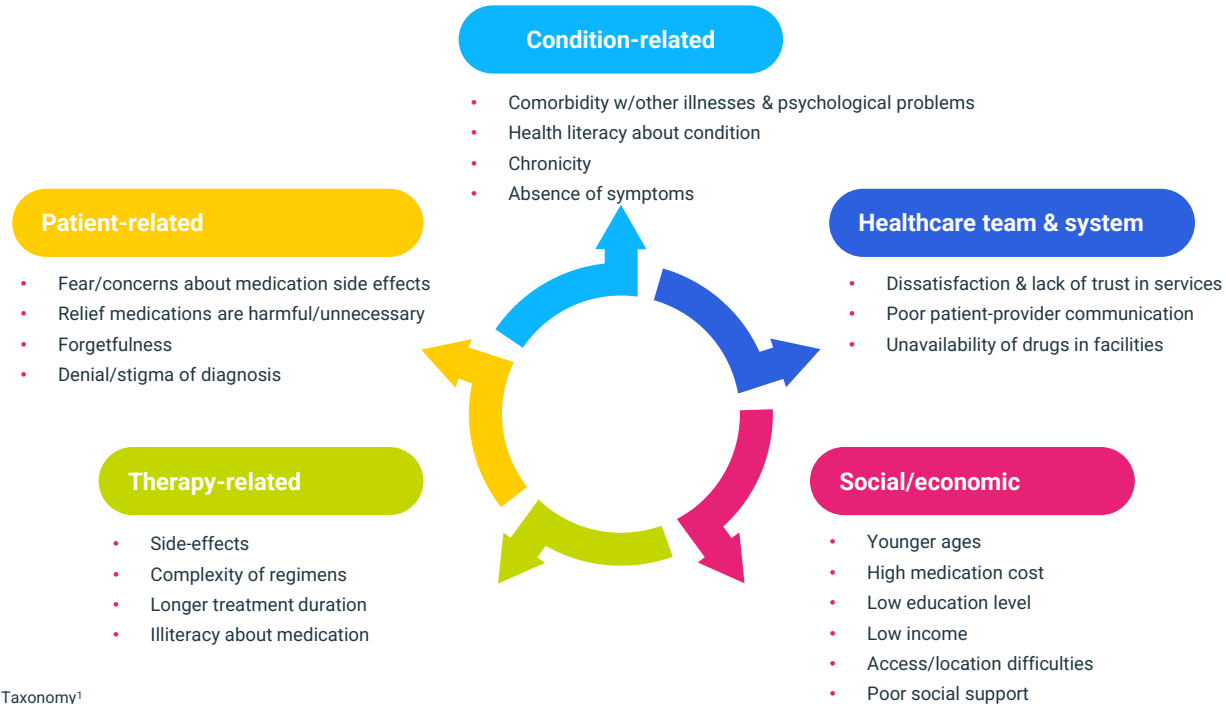


Reduced productivity³



Increased costs and stress on the health system⁴

Reasons for non-adherence



Konstantinou et al (2020), based on WHO Taxonomy¹

Reasons for medication nonadherence

People do not take their medication as prescribed for all sorts of reasons:

"I can't afford the treatment"

"It's a hassle to take every day and integrate into my daily routine"

"It is hard for me to remember to take my medication every day"

"I have trouble swallowing the pill"

"I can't self-inject on my own"

Unintentional



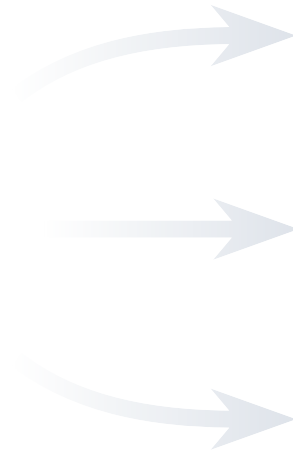
Reasons for medication nonadherence

People do not take their medication as prescribed for all sorts of reasons:



Facilitating adherence using connected devices and their apps: Considerations

Behavior change is complex



Behavior change (adherence) is hard – to initiate and to continue



Behavior change is active and dynamic – it doesn't just happen; it requires sustained work and adaptations over time



Information alone is not enough to change behavior

Facilitating adherence using connected devices and their apps: Considerations

Health behaviors like non-adherence are **NOT** easily fixed by:

- Providing information
- Providing reminders
- Being authoritative
- Fear arousal



Designing targeted interventions:

Different reasons for medication non-adherence require different solutions

“It’s a hassle to take every day and integrate into my daily routine”

- Set up prompts and cues to remember
- Offer tips to help get organized

“But I don’t have any symptoms, and I don’t feel sick”

- Educate on nature of asymptomatic health conditions
- Show what’s happening inside the body

“I can’t self-inject on my own”

- Engage caregiver or family member to help
- Arrange for home nurse support

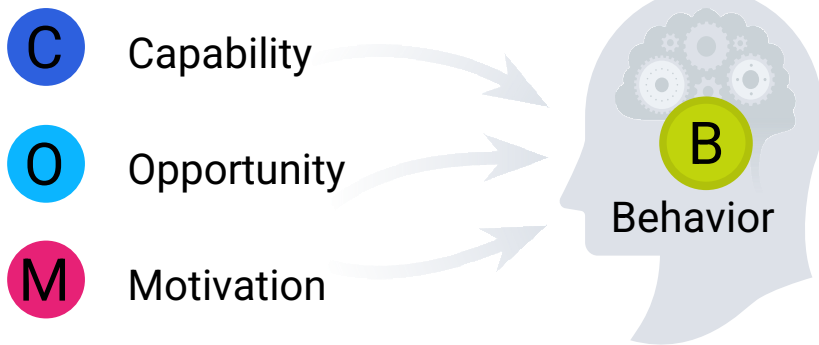
“The medication makes me feel nauseous”

- Provide tips for managing nausea
- If possible, take the medication at a different time of day

Designing Targeted Interventions: The COM-B Model

The COM-B model is a model of behavior change that incorporates all the range of factors that have been found to affect health-related behaviors

The model puts these factors into 3 broad groups:



COM-B model was developed as a general framework and has also been applied specifically to understanding medication adherence

original article

Applying COM-B to medication adherence

A suggested framework for research and interventions

On average only fifty percent of people with long term conditions are adherent to their treatment across diverse disease and patient groups (Holloway & van Dijk, 2011; Sabaté, 2003). Medication non-adherence leads to reduced clinical benefit, avoidable morbidity and mortality and medication wastage (DiMatteo, Giordano, Lepper, & Croghan, 2002). With increases in life expectancy as well as the number of patients managing chronic illnesses, this problem may well become worse in the next few years. Consequently, policy makers have called for successful interventions to address the causes of non-adherence and improve the population's use of medicines (Holloway & van Dijk, 2011; Horne, Weinman, Barber, Elliott, & Norman, 2006; Hines et al., 2009; Sabaté, 2003). Indeed, it has been estimated that \$269 billion worldwide could be saved by improving patient medication adherence (IMS Institute for Healthcare Informatics, 2012).

Unfortunately, many adherence interventions to date have not been effective (Haynes, Ackloo, Sahota, McDonald, & Yao, 2008). Medical Research Council guidelines recommend that appropriate theory and evidence should be identified to inform the development of an intervention (Craig et al., 2008). However, most adherence interventions are developed without a sound theoretical base, which may be one of the reasons they have not been effective (Horne et al., 2006). Successful interventions have often involved a level of complexity that would be too difficult and expensive to implement in practice (Haynes et al., 2008).

Explanations and models of medication

adherence/non-adherence have changed over the years. Early work tended to focus on the role of doctor-patient communication and its effects on patient satisfaction, understanding and forgetting as key determinants of subsequent treatment adherence (Ley, 1986). However, health behaviour research has consistently demonstrated that the provision of information alone is not an effective way to change behaviour, and so research has now moved onto approaches and models which focus on patients' beliefs, motivation and planning abilities as the core explanatory variables. Many of these are social cognition or self-regulatory models which emphasize the importance of the beliefs which individuals have about their illness and treatment as well as their own ability to follow the treatment and advice which they are given (see Conner & Norman, 2005). Existing models and frameworks are not comprehensive since they neglect automatic processes such as habit (for example, Ajzen, 1985; Bandura, 1977, 1986; Horne, 1997, 2003; Leventhal, Nerenz, & Steele, 1984; Pound et al., 2005; Rosenstock, 1974), do not describe dynamic behaviours whereby the experience of adherence/non-adherence can alter predisposing factors such as beliefs about medication (for example, Ajan, 1985; Bandura, 1977, 1986; Horne, 2003; Pound et al., 2005; Rosenstock, 1974) and neglect factors at a systems level (for example, Horne, 2000, 2003; Leventhal et al., 1984; Pound et al., 2005; Rosenstock, 1974). In addition, the often used

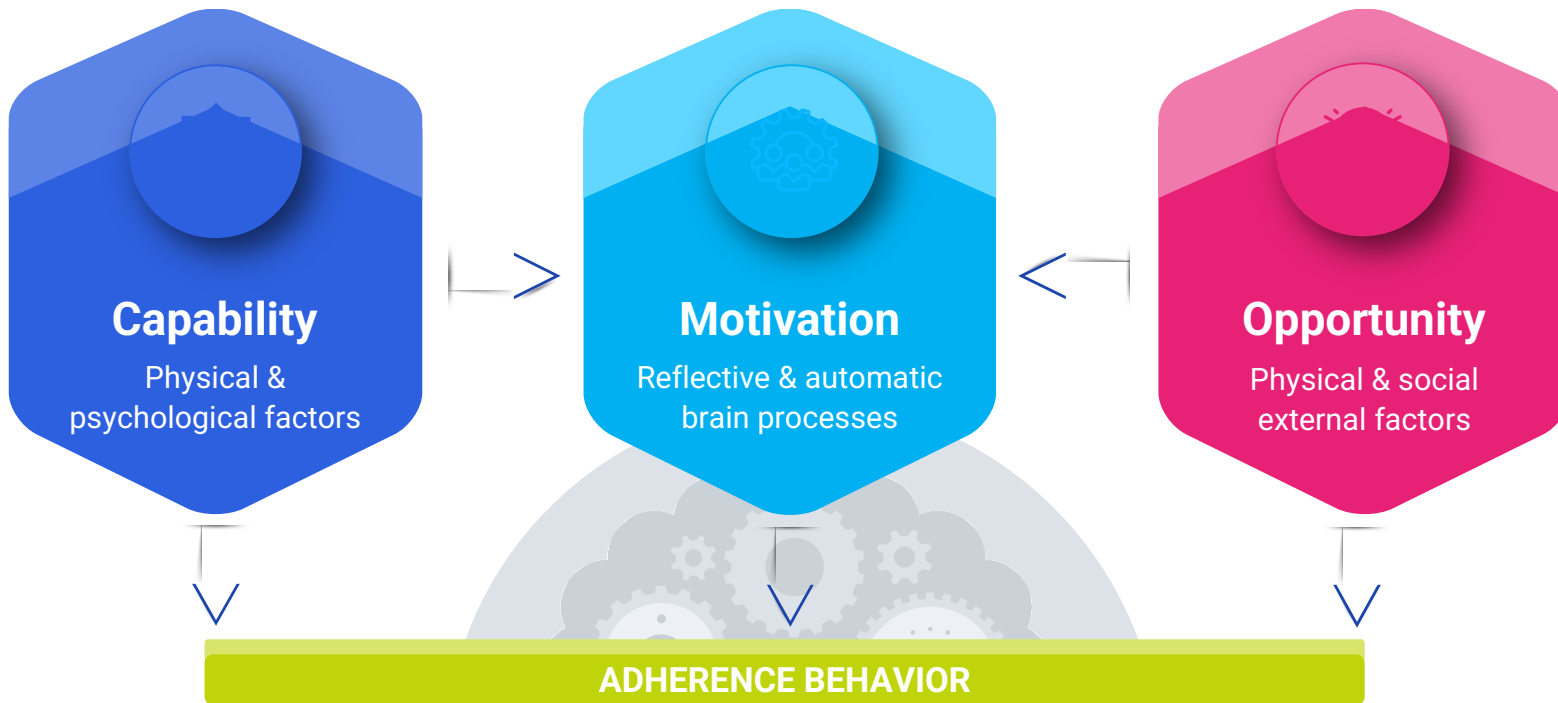
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The COM-B Model



Behavior Change Techniques (BCTs)

- BCTs are theory-based methods for changing one or several aspects of behavior
- The ‘active ingredient’ of a behavior change intervention
- There are 93 BCTs
- Arrived at through international and interdisciplinary consensus
- Reported in a comprehensive taxonomy, organized into hierarchical clusters, that is ever evolving
- **CAN BE DELIVERED VIA MANY DIFFERENT MEANS/MODES**

BCT Taxonomy (v1): 93 hierarchically-clustered techniques

Page	Grouping and BCTs	Page	Grouping and BCTs	Page	Grouping and BCTs
1	1. Goals and planning	8	4. Comparison of behaviour	16	11. Antecedents
1.1	Goal setting (behavior)	8.1	Demonstration of the behavior	11.1	Restructuring the physical environment
1.2	Problem solving	8.2	Social comparison	11.2	Restructuring the social environment
1.3	Goal setting (intention)	8.3	Information about effort approval	11.3	Avoidance/reducing exposure to cues for the behavior
1.4	Action planning	9	7. Associations	11.4	Distraction
1.5	Reverse behavior goal(s)	9.1	Reinforcement	11.5	Adding objects to the environment
1.6	Discrepancy between current behavior and goal	9.2	Self-reinforcement		
1.7	Reverse outcome goal(s)				
1.8	Behavioral contract				
1.9	Commitment				
3	2. Feedback and monitoring				
2.1	Monitoring of behavior by others without feedback				
2.2	Feedback on behavior				
2.3	Self-monitoring of behavior				
2.4	Self-monitoring of outcome(s) of behavior				
2.5	Monitoring of outcome of behavior without feedback				
2.6	Self-feedback				
2.7	Feedback on outcome of behavior				
5	5. Social support				
5.1	Social support (verbal)				
5.2	Social support (practical)				
5.3	Social support (emotional)				
6	6. A. Shaping knowledge				
4.1	Instruction on how to perform the behavior				
4.2	Information about antecedents				
4.3	Self-attitudes				
4.4	Behavioral experience				
7	7. 5. Natural consequences				
5.1	Information about the consequences				
5.2	Balance of consequences				
5.3	Information about social environmental consequences				
5.4	Monitoring of emotion consequences				
5.5	Anticipated regret				
5.6	Information about environmental consequences				

BCT Taxonomy (v1): 93 hierarchically-clustered techniques

Note for Users

The definitions of Behavior Change Techniques (BCTs):

- contain verbs (e.g., provide, advise, arrange, prompt) that refer to the action(s) taken by the person(s) delivering the technique. BCTs can be delivered by an 'interventionist' or self-delivered
- contain the term 'behavior' referring to a single action or sequence of actions that includes the performance of 'wanted' behavior(s) and/or 'unwanted' behavior(s)
- note alternative or additional coding where relevant
- note the technical terms associated with particular theoretical frameworks where relevant (e.g. including implementation intentions)

No.	Label	Definition	Examples
1.1	1.1. Goal setting (behavior)	Set or agree on a goal defined in terms of the behavior to be achieved <i>Note: only code goal-setting if there is sufficient evidence that goal set as part of intervention; if goal unspecified or a behavioral outcome, code 1.3. Goal setting (outcome); if the goal defines a specific context, frequency, duration or intensity for the behavior, ggg code 1.4. Action planning</i>	Agree on a daily walking goal (e.g. 3 miles) with the person and reach agreement about the goal Set the goal of eating 5 pieces of fruit per day as specified in public health guidelines.
1.2	1.2. Problem solving	Analyse, or prompt the person to analyse, factors influencing the behavior and generate or select strategies that include overcoming barriers and/or increasing facilitators (includes: Relapse Prevention and Coping Planning) <i>Note: barrier identification without solutions is not sufficient; if the BCT does not include analysing the behavioral problem, consider 12.8. Avoidance/changing exposure to cues for the behavior, 12.1, Restructuring the physical environment, 12.2, Restructuring the social environment, or 11.2, Reduce negative emotions</i>	Identify specific triggers (e.g. being in a pub, feeling anxious) that generate the behavior and develop strategies for avoiding environmental triggers or for managing negative emotions, such as anxiety, that motivate drinking Prompt the patient to identify barriers preventing them from starting a new exercise regime e.g., lack of motivation, and discuss ways in which they could help overcome them e.g. going to the gym with a buddy

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BCTs are the ‘active’ ingredients



1 x Goal
Setting

1 x Instruction on
How to Perform the
Behavior

2 x Prompts /
Cues



Effective behavior
change solution

- We can think of BCTs as being like the “ingredients” in a recipe
- Each ingredient plays a unique role
- The ingredients work with each other
- The ingredients are carefully combined to create an effective behavior change intervention or solution



Thanks for listening!